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SECTION I: GENERAL INFORMATION FOR BREN GRADUATE STUDENTS

The purpose of this guide is to present Bren School policies and procedures and other relevant information for Bren graduate students. While this guide references some University of California policies and procedures applicable to all graduate students, it does not duplicate the <a href="https://docs.not.org/linearing/linearing/brenzes/beauth-noise/brenzes

<u>Mission</u>

The mission of the Bren School is to solve environmental problems. The Bren School develops interdisciplinary solutions to environmental problems, trains environmental leaders, and works for a sustainable future.

Diversity Values

Sustainability challenges are diverse by nature, associated with a wide range of environmental, economic, social, and cultural risks. Because those risks appear unevenly across society and geography, addressing them requires the varied perspectives of equally diverse people, whether in terms of their disciplinary expertise, tradition, heritage, race, ethnicity, sex, religion, sexual orientation, gender identity, age, socioeconomic status, disability status, or experience.

At the Bren School, we seek to attract students, faculty, and staff who reflect that diversity, because <u>we believe in the inherent value of diversity</u> and because the more diverse we are as a school, the more capably and creatively we can pursue our mission of solving environmental problems and training tomorrow's environmental leaders.

WHO'S WHO IN THE BREN SCHOOL

Faculty

The UC system (and especially UCSB) operates under a strong tradition of shared governance between faculty and administration. This means that the University functions as a dual-track system in which faculty members design the University's curriculum and administrators direct its finances and organization. In practice, these domains are overlapping and interdependent. Nonetheless, faculty (not deans) are primarily responsible for governance and decision-making regarding academic matters.

Bren School faculty are deeply committed to educating students and being superb instructors. However, it is important that students calibrate their expectations of faculty appropriately. Since this is a research university, ladder track faculty are expected to invest at least 50% of their time in research, build reputations as superior scholars and researchers in their area of expertise, and publish their research findings. The Bren School education is shaped by faculty who work at the leading edge of knowledge creation and policy development and implementation.

Faculty earn one quarter of sabbatical leave for three years of service (or they can accumulate leave and take a full year). In any given year, it is possible that a particular faculty member may not be in residence for all or part of that year. Faculty on sabbatical or leave in 2023-2024 are summarized in the following table.

<u>Adjunct faculty</u> are individuals who have their main affiliation at another institution and are not part of the UC Academic Senate but are involved in teaching and research at UCSB.

<u>Affiliated faculty</u> are ladder-track faculty in other UCSB departments (or other UCs) who interact and engage with Bren faculty and students in mutually beneficial ways. Affiliated faculty may serve as members of PhD Committees for Bren School PhD students.

Each year, the Bren School hires <u>lecturers and visiting professors</u> who are professionals in the field or are working at other universities, and who teach courses to enhance our curriculum and Bren students' educational experiences.

Staff

The Bren School staff provides services to Bren faculty, researchers, graduate students, and others in the areas of administration, academic programs, financial services, career services, communications and outreach, computing services, development, events, facilities, and special programs.

Researchers

There are a number of researchers and postdoctoral students in the Bren School, either working independently or for a Bren School faculty member. In addition, the Bren School regularly hosts visiting researchers from other universities or organizations who come to work with faculty and students.

Committees & Councils

Many Bren School committees have student representatives who share feedback from the student perspective with the administration, faculty and staff, and help to shape the future of Bren School programs. To inquire about serving as a student representative on a Bren School committee, please contact the Student Affairs staff (academics@bren.ucsb.edu); invitations for nominations will be made via email. Committees with student representatives are described below. A resource document for committee members describes best practices for Bren student committee representatives for soliciting feedback.

It is expected that elected students commit to serve their full term as representatives. In the event that a student is no longer able to serve on their committee, it is requested that they reach out to their cohort to find a suitable replacement and notify all parties involved of the change, including the Student Affairs staff. Student cohorts will be notified in the Fall Quarter of any committee vacancies that remain unfilled.

Class Chairs

Class Chairs are elected for each cohort of master's students. Each MESM class elects two co-chairs in early Winter Quarter. The MEDS class elects two co-chairs in Summer Quarter and these co-chairs also serve on the MEDS DAC. Class Chairs facilitate communication between their classmates and the Bren School. Cultivating awareness and an appreciation of philanthropy, Class Chairs lead Class Giving that provides annual support to the Bren School to meet priority needs with the goal of 100% participation among the graduating class. After graduation, the Class Chairs continue to facilitate communication between alumni in their class and the Bren School. The support of our alumni and friends enables the school to offer student financial aid and unique professional development and training opportunities.

Dean's Advisory Council (DAC)

The Dean's Advisory Council serves as an information conduit between students and the administration. The DAC works with the administration to ensure the Bren School provides a supportive and positive environment for students. The DAC explores different student opinions and views to motivate problem-solving for any student-related issues. There are three DACs, one for each degree program (MESM, MEDS, and PhD). The MESM DAC includes three 1st-year MESM and three 2nd-year MESM students. The MEDS DAC includes three MEDS students (the Class Co-Chairs and the Master's Curriculum Committee student representative). The PhD DAC includes up to five PhD students. Each DAC is responsible for scheduling quarterly meetings with the dean and senior staff, creating its own minutes, and defining its processes and procedures. The DAC may lead school-wide functions that involve the student body.

Student-Faculty Representatives

A Student-Faculty Representative from each degree program (MESM, MEDS, PhD) is invited to attend one faculty meeting per quarter. These student representatives serve as liaisons between faculty and students, bringing topics of discussion that complement, rather than duplicate discussions and initiatives within existing Bren committees, and focus on topics appropriate for full faculty engagement. Elected student representatives work closely with the Faculty Executive Committee (FEC) Chair to plan the quarterly meetings, and are responsible for soliciting feedback from the Bren Student Leadership Collective and student body on important topics to discuss with Bren faculty.

Diversity Committee

The Diversity Committee focuses on diversity and integrating diversity considerations into decision-making. The Diversity Committee is composed of two Bren faculty, four staff, one PhD student representative, one MEDS student representative, and one MESM student representative. The Diversity Committee meets once or twice per quarter, or as needed. The Bren School's Diversity Values are posted on the website under <u>About Bren</u>.

Bren Seminars Committee

The Bren Seminars Committee consists of PhD students and master's students who plan and host the Bren Seminar series in coordination with faculty and staff. Seminars are focused on research topics of interest to environmental science students and faculty. Bren Seminars are scheduled on Mondays at 11:00 am -12:00 pm. The Bren Seminar Committee works closely with the Senior Events Manager and one faculty representative.

PhD Program Committee

The PhD Program Committee sets standards and policies for the PhD curriculum. It provides advice and consent on matters of policy, programs, and practice that impact the quality of the PhD educational program and the educational experience of PhD students. The Committee consists of faculty, staff, and two PhD student representatives, one who has advanced to candidacy and one pre-candidacy. According to University rules, the student representatives cannot be voting members; only faculty that are members of the Academic Senate can be voting members. Nonetheless, the students play an important and valuable role. The Committee reserves the right to have Executive Sessions that exclude the student representatives as needed to discuss confidential personnel issues or issues related to specific students. The staff contact for the PhD Program Committee is the Director of Academic Programs.

Master's Curriculum Committee

The Master's Curriculum Committee sets academic standards and policies for the Master of Environmental Science and Management (MESM) and Master of Environmental Data Science (MEDS) degree programs. It provides advice and consent on matters of policy, programs, and practice that impact the quality of the master's education and the educational experience of master's students. This Committee consists of faculty, staff, one 2nd-year MESM student representative, and one MEDS student representative. In Spring Quarter, the MESM student representative is elected from the 1st-year class and serves on the committee through their second year. The MEDS student representative is elected during Summer Quarter and serves on the committee through the academic year. This MEDS student representative also serves as one of the three MEDS students on the DAC. According to University rules, the student representatives cannot be voting members; only faculty that are members of the Academic Senate can be voting members. Nonetheless, student representatives play an important and valuable role in shaping the committee agenda and working to address students' interest and concerns. The Committee reserves the right to have Executive Sessions that exclude the student representative as needed to discuss confidential personnel issues or issues related to specific students. The staff contact for the Master's Curriculum Committee is the Director of Academic Programs.

MESM Group Project Committee

The MESM Group Project Committee reviews and selects MESM Group Project proposals, which serve as master's thesis projects for MESM students and consists of faculty, staff and three

1st-year MESM students who oversee the MESM Group Project selection process. In Fall Quarter, MESM students are elected from the 1st-year class to serve on the committee during the Winter Quarter of the first year of study. The faculty and staff guide MESM Group Project policy and communicate guidelines to students. The staff contact for the MESM Group Project Committee is the Director of Academic Programs.

MEDS Capstone Project Committee

The MEDS Capstone Project Committee reviews and selects Capstone Project proposals. Faculty, staff and two MEDS students oversee the Capstone Project selection process. In Summer Quarter, MEDS students are elected to serve on the committee during Fall Quarter. The faculty and staff guide MEDS Capstone Project policy and communicate guidelines to students. The staff contact for the MEDS Capstone Project Committee is the Academic Programs and Capstone Coordinator.

Innovation Program Committee

The Innovation Program Committee consists of faculty and staff who oversee the Environmental Innovation & Entrepreneurship Program. The committee members mentor students in Eco-E Projects, provide feedback to Eco-E Project teams through progress review meetings, and allocate funding for student fellowships. The staff coordinator for the Innovation Program Committee is the Innovation Program Manager.

Bren Student Clubs

Students add valuable dimensions to the Bren community by leading student groups and clubs. Bren School clubs include: Environmental Justice Club, Bren Sustainability Committee, Bren NetImpact, Bren Energy Club, and Brengrass (musical group). Bren clubs pursue priorities important to students and contribute to comradery and community among the student body.

Leadership Council & Partners

Since its establishment in 1991, the Bren School developed and maintained partnerships with a broad range of individuals and entities that contribute greatly to the school.

Councils and Partners provide professional expertise and networks ranging from sustainability to political, business, economic, legal, or other perspectives important to maintaining a fresh and vibrant curriculum and ensuring that the school reflects the evolving field of environmental management.

The <u>Dean's Council</u> supports and promotes the Bren School in achieving its mission while serving as a valuable conduit between the school, the local community, and their networks. The Council includes individuals who are experienced community and civic leaders, alums, and business and environmental professionals. Dean's Council members may directly support student success through contributions of expertise, mentorship, and philanthropic gifts. The staff coordinator of the Dean's Council is the Director of Development.

The <u>Corporate & Institutional Partners Program</u> creates mutually beneficial collaborations with a range of visionary companies and organizations. These Partners contribute to the Bren School for a variety of purposes, including student support, research, initiatives and programs, and unrestricted gifts. The staff coordinator of the Corporate and Foundation Partners is the Director of Development.

The <u>Eco-Entrepreneurship Advisory Council</u> (EEAC) provides perspective and guidance to students pursuing the Environmental Innovation and Entrepreneurship focus and Eco-Entrepreneurship Projects within the MESM program. The council is composed of experienced business professionals, known as Eco-Entrepreneurship Advisors, who actively share their experience, expertise and networks to directly engage students as they explore becoming environmental entrepreneurs. The staff coordinators for the EEAC are the Innovation Program Manager and the Director of Development.

The <u>Council of Legal Advisors</u> (CLA) supports environmental legal education at the Bren School by forming partnerships with leading environmental lawyers and firms. Council members' financial contributions enable the Bren School to ensure that our environmental law training offers content (short courses, workshops and seminars) that is provocative, adaptive, timely and innovative. The faculty chair of the Council of Legal Advisors is Professor James Salzman and the staff coordinator is the Director of Development.

BREN CURRICULUM

Curriculum Plan

The curriculum plan provides a complete listing of the courses that are expected to be offered during the upcoming academic year. There may be changes to the curriculum plan if courses are added, instructors are not available, or enrollment is low.

Bren courses are typically offered in the same quarter from one year to the next, but this is not guaranteed. Courses may shift to another quarter for various reasons. Students should expect that required core courses will be available every year. Elective courses (e.g., for specializations) vary from year to year depending on which faculty are in residence and which lecturers are available. Students should expect some changes in the curriculum from one year to the next. Like the field of environmental science and management, the Bren curriculum is dynamic. Students may contact academics@bren.ucsb.edu with questions about courses.

Course Descriptions

- MESM (ESM) course descriptions are on the Bren website under <u>Master's</u>
 <u>Programs>Master of Environmental Science & Management>Courses</u>.
- MEDS (EDS) course descriptions are on the Bren website under <u>Master's</u> <u>Programs>Master of Environmental Data Science>Courses</u>.
- PhD (ESM) course descriptions are on the Bren website under <u>PhD Program>PhD</u> <u>Academics>PhD Courses</u>.

It is common for descriptions on the Bren website to be more comprehensive than the listings in the University Catalog. Certain courses may be offered under several "Special Topics" designations (i.e. ESM 293, ESM 294, ESM 296, ESM 297, ESM 299); the descriptions of these classes change quarterly and are only found on the Bren website.

Descriptions of courses offered by other departments at UCSB may be found in the <u>University</u> <u>Catalog</u>. Students are encouraged to explore offerings in other departments that help build depth of knowledge in areas of interest.

Course Numbering

Graduate courses at UCSB are numbered 200-599. Upper-division undergraduate courses are numbered 100-199. Lower-division undergraduate courses, numbered <100, can <u>never</u> count towards satisfying graduate degree requirements. However, with approval, up to 8 units of upper-division undergraduate coursework (100-199) may count toward MESM degree requirements.

Schedule of Classes

The official <u>Schedule of Classes</u> is on the Bren website. GOLD reflects the current Schedule of Classes but may differ and be inaccurate if a course is offered in an intensive or unusual format. Please contact <u>academics@bren.ucsb.edu</u> to confirm a particular class time or clarify any discrepancies.

Bren School courses are typically scheduled Monday through Friday and occasionally on Saturday and Sunday. Regular quarter-long courses (e.g., core and elective courses) are typically offered Monday through Thursday between the hours of 8:00 am and 5:00 pm. The Bren School offers two to three courses at the same time to provide the full range of course offerings. Faculty and staff make every effort to minimize the likelihood that students will encounter schedule conflicts between desired courses. However, it is inevitable that a few students will experience schedule conflicts and they must choose one course as their priority.

The Bren School offers some courses taught by visiting professors in an intensive format, compressed into a week or two, meeting daily or every other day. Intensive courses are typically offered in the late afternoons on Monday through Thursday (e.g., 5:00-7:30 pm) and Fridays (e.g., 8:30-11:30 am or 1:00-4:00 pm). Labs, workshops, intensive courses, seminar courses, and field trips may be scheduled on Friday and occasionally on Saturday and Sunday. Some MEDS courses are held at UCSB's National Center for Ecological Analysis & Synthesis (NCEAS) located in downtown Santa Barbara.

Books

Required and recommended readings are posted for courses in the Textbook section of the <u>Schedule of Classes</u>. Most Bren students obtain textbooks from online sources. Two copies of each required MESM core course textbook are placed in the Bren Reading Room (BH 3312). The Bren School does not typically purchase copies of books for elective classes.

Canvas

Canvas is the new course content and learning management system (LMS) for UCSB, replacing GauchoSpace beginning Fall 2023. Canvas is a web-based LMS used by learning institutions, educators, and students to access and manage online course learning materials and communicate about skill development and learning achievement. Instructors may post course syllabi, reading materials, assignments, etc., in Canvas where students can view the materials for courses in which they are enrolled. Please note that faculty use of Canvas is optional, and not every instructor utilizes the system.

EXTRACURRICULAR ACTIVITIES

The Bren School offers many research seminars, academic workshops, and career talks for students to enrich their educational experience. Most of these opportunities do not provide academic credit but instead broaden students' knowledge, professional skills, and network. Bren students are expected to participate in some seminars, workshops, and talks. Most of these events are intentionally scheduled from 11:00 am - 12:00 pm Monday through Thursday when classes are not scheduled at Bren so that students can prioritize attending them.

- Mondays 11:00 am 12:00 pm. Bren Seminars focused on a wide range of topics in environmental research, open to the public. Bren faculty and students are encouraged to attend regularly.
- Tuesdays 11:00 am 12:00 pm. Master's Project workshops for MESM students (some mandatory for a specific cohort).
- Wednesdays and Thursdays 11:00 am 12:00 pm. MESM and PhD career talks and workshops (some mandatory for a specific degree program cohort). See the Career Development section of this handbook for more details.
- Thursdays 8:15 am 9:15 am. MEDS career talks and workshops.

You can also view the schedule of upcoming events posted to the Bren website under **Events**.

This Week at Bren (TWAB)

This Week at Bren (TWAB) is an email digest of the coming week's events sent on Monday mornings each week that classes are in session. TWAB is a single email message that lists upcoming seminars, events, club meetings, and other activities for Bren students, the Bren community, and/or the public. At the top of each TWAB email, there is a link to the Google TWAB calendar that includes these events. Students may subscribe to the Google TWAB calendar so they are aware of upcoming events and deadlines. To share an announcement for an upcoming event on TWAB, please submit a This Week At Bren Submission Form or email events@bren.ucsb.edu before 5:00 pm on the Friday of the week preceding the event.

Attendance at Bren Events

Some events and activities at Bren require students to respond to whether or not they will be able to attend. Students should respond promptly and accurately. If a student responds that they will attend, then the student should make every effort to participate. If the student becomes ill or an emergency arises and they are not able to participate, then the student must notify the host as soon as possible to let them know. Accurate and timely responses are essential for the host to plan an appropriate venue and, for some events and activities, food and other refreshments. Please respect the time and money involved in planning events and activities at Bren and please follow through when committing to attend.

STUDENT STATUS

Academic Standing/Standards of Scholarship

To remain in good academic standing, a UCSB graduate student must make timely progress toward degree completion and satisfactorily meet the following standards of scholarship established by the University and campus Academic Senate regulations and Graduate Council rulings:

- Maintain a cumulative Grade Point Average (GPA) of at least 3.0. If a student's cumulative GPA drops below 3.0 for one quarter, they will be placed on Academic Monitoring. If the GPA remains below 3.0 for three consecutive quarters, the student may be recommended for Probation and potential dismissal from the University.
- Keep the transcript free of excessive unfinished coursework, defined as 12 or more units of Incomplete (I), No Grade (NG), and/or No Record (NR) grades.
- Complete the MEDS degree within one year, the MESM degree within two years, or complete the PhD degree within five years. Students may work with the Director of Admissions and Student Affairs to develop a study program that exceeds the normative time. PhD students enrolled in the Economics and Environmental Science (EES) emphasis typically require one additional year to complete the degree.

Establishing California Residency

Out-of-state residents must pay an additional fee for non-resident supplemental tuition (NRST). Living in California for more than one calendar year (366 consecutive days) is usually (but not always) sufficient to establish a California residency. If students are US citizens and intend to establish California residency, they are encouraged to take steps to establish residency upon their arrival in Santa Barbara. Please see the Registrar's website for guidance regarding the residency determination process. The final authority on residency rests with the Residence Deputy at the Office of the Registrar. Thus, students seeking counseling on residency questions should contact the Residence Deputy at 805-893-3592 (Residency@sa.ucsb.edu or SAASB 1101).

English for Multilingual Students (EMS)

The UCSB Graduate Division requires that all non-native English speakers meet proficiency standards in spoken and written English before they are awarded a degree. During Orientation, non-native English speakers are required to take written and oral portions of the English Language Placement Examination (ELPE). Based upon the results of this exam, students will be (1) exempted from English for Multilingual Students (EMS) courses, or (2) referred to specific EMS courses.

Students should promptly take any required EMS courses. Students lacking adequate written and oral communication skills may have difficulty with their Bren courses, which may jeopardize successful degree completion.

Students who need to take EMS courses may have to defer some courses and should meet with Student Affairs staff (academics@bren.ucsb.edu) to discuss which courses to defer. It may also be prudent to request an extension for time-to-degree past the normal one year for MEDS, two years for MESM, or five years for PhD in order to accommodate additional coursework necessary to develop English language proficiency. Further information on EMS classes and scheduling can be found at www.ems.ucsb.edu.

Non-native English speakers enrolled in the Bren School are encouraged to take ESM 437 Writing Skills for Environmental Professionals (3 units) during the Fall Quarter of their first year. This course is designed to improve academic and applied writing about environmental sciences.

Leave of Absence

UCSB requires continuous registration of graduate students until completion of all requirements for the degree. In extraordinary circumstances, a student who has registered for and completed at least one quarter and is in good academic standing may petition and be approved for a Leave of Absence. The circumstances for which students may apply for a Leave of Absence include:

Personal leave

- A student may request a personal leave for any personal reason that limits their capacity to enroll and make progress towards their degree. Students are eligible for 3 quarters of personal leave but could request up to 3 more quarters with the Graduate Dean's approval.
- No additional documentation is needed in support of the leave request, unless the student is requesting beyond the first 3 quarters of leave.
- Students on a personal leave of absence are not registered students and therefore relinquish most student privileges and resources such as extensive use of faculty time.

Filing leave

- A student may request filing leave if they have fulfilled all degree requirements except the final examination and filing of the master's thesis, doctoral dissertation, supporting document, or completion of master's comprehensive examination or project.
- Summer Filing Quarter for international students

The <u>leave of absence petition</u> is on the Graduate Division website under <u>Academic Services Forms and Petitions</u>. If a student intends to petition for a Leave of Absence, they should meet with the Student Affairs staff and/or Graduate Advisor (Assistant Dean).

Part-time Status

A student may be eligible to apply for part-time status if they are not advanced to candidacy and are not enrolled in more than 6 units. Part-time status qualifies a student to pay reduced tuition and fees. Approval of part-time status is at the discretion of the Dean of the Graduate Division. The circumstances under which students may apply for part-time status include:

- Health: Reduction in units must be supported by a licensed medical provider for medical reasons.
- Occupation: Off-campus employment of 30 or more hours per week.
- Family responsibilities: Established on a case-by-case basis for reasons such as (but not limited to) childcare responsibilities, care of ill family members, etc.

Students considering part-time status should meet with the Student Affairs staff and/or Graduate Advisor (Assistant Dean) to discuss their situation. The <u>part-time status petition</u> is on the Graduate Division website under <u>Academic Services>Forms and Petitions</u>.

Lapsed Student Status

Students who do not register and do not have an approved Leave of Absence on file will "lapse status" with UCSB and will lose all student privileges (financial aid, library, UC Student Health Insurance, etc.). Graduate students who wish to register after a break in enrollment must petition for reinstatement with the <u>Graduate Division</u>. Reinstatement is not automatic and requires the approval of the student's academic department; the student's record will be evaluated with respect to academic performance and timely completion of the degree.

Withdrawing from the Program

If a student is considering withdrawing from the program, they must meet with the Student Affairs staff to obtain appropriate information and guidance on how to proceed. If the student decides to withdraw, then the Student Affairs staff will request that the Graduate Division close the student's degree objective(s). If a master's student withdraws after beginning their master's project, they must notify the faculty advisor and group members of the withdrawal. Students are also advised to discuss their withdrawal plans with the Financial Aid Office, or if an

international student, the Office of International Students and Scholars (OISS), before taking any action.

A student may or may not be eligible for a refund of student fees depending on the timing of the withdrawal. A withdrawal petition may be obtained online or from the Registrar's Office. More information on withdrawing from the University is on the Registrar's website.

Adding a Second Degree or Emphasis

If a student receives approval from the Bren School and/or another department to pursue a secondary degree or an interdisciplinary emphasis to a PhD degree, the student will need to submit a Graduate Division Change of Degree Status Petition. Any additional degree or interdisciplinary emphasis must be added by petition to the Graduate Division in order to be reflected on the student's diploma upon graduation.

Adding Bren PhD Degree to MESM Degree or MEDS Degree

MESM and MEDS students who would like to continue in the Bren PhD program must apply for admission through the standard <u>UCSB Graduate Division application process</u>.

REGISTRATION & ENROLLMENT

It is the student's responsibility to keep track of registration <u>pass times</u>, enroll in courses accurately and on time, and pay fees by the deadlines posted on the UCSB <u>Registration</u> <u>Calendar</u>. Failure to meet the deadlines may result in late fees.

If any student has questions or concerns related to registration or grades, they should contact the Academic Programs staff (academics@bren.ucsb.edu).

Academic Calendar

Unless otherwise noted on the Bren Schedule of Classes, Bren classes follow the <u>Registrar's Academic Calendar</u> and begin on the first day of instruction and continue through Final Exam week. Students should be present for the duration of the academic quarter unless they are on a Leave of Absence.

Enrollment in Classes with Discussions/Labs

For classes with more than one instructional component (i.e., discussion/lab section), students should enroll in the discussion/lab that best fits their schedule. The registration system will automatically enroll students in the lecture portion of the class when they register for the corresponding lab/discussion section.

Approval Codes

An approval or "add" code is required to enroll in a course with restricted registration or if a student is adding a course after the first full week of the quarter. When registering, students will be prompted for a four-digit code. These codes are course-specific and can be used only once. Approval codes for Bren (ESM and EDS) classes may be obtained by contacting

<u>academics@bren.ucsb.edu</u>. Instructor approval is needed to waive any required prerequisite(s); this approval must be included in the request for an approval code. Approval codes may be necessary to enroll in a non-Bren course, in which case the request should be directed to the course instructor in that department.

Class Size

The Bren School philosophy is to accommodate all Bren students who want to take a particular Bren course. However, some courses may have limited capacity. If GOLD indicates that a course is full, please email academics@bren.ucsb.edu to inquire about the possibility of adding the course.

Number of Units

The Graduate Division recommends each full-time graduate student be enrolled in a minimum of 12 units per quarter. However, there is no loss of privileges as long the student is enrolled in at least 8 units.

- The MESM degree requires a minimum of 80 units. A student taking the minimum 12 units per quarter would not complete the MESM degree in two years. A typical course load for MESM students is 16-18 units per quarter.
- The MEDS degree requires a minimum of 54 units. MEDS students must take all MEDS core courses offered in a given quarter (12-14 units per quarter).
- The PhD degree is not based on a unit count. PhD students must take three core ESM courses (10 units) and any other relevant coursework to achieve the academic goals set for the student by the faculty advisor and committee.

Transferring Credit

Credit for upper-division and graduate courses may be transferred to UCSB under certain circumstances. Credit is only transferable if it was earned in a graduate degree program for which a degree was never conferred. In other words, if a student started graduate school somewhere else but left before finishing, it may be possible to transfer some unit credit. Students must complete one-quarter of enrollment at UCSB before they can petition the Graduate Division (using a <u>Graduate Student Petition</u>) to transfer any units. Courses applicable to the MEDS, MESM, or PhD degree and a particular specialization are the only courses that will be considered for unit transfer.

Individuals must be enrolled in a Bren School graduate program to count a course toward degree requirements. A student cannot transfer unit credit for courses taken at UCSB prior to applying to the Bren School. Courses taken through UCSB Extension prior to program application cannot count toward the minimum degree requirements. If admitted to the Bren School, students may transfer no more than 12 units. Students will not be required to repeat a course already taken but will have to make up the units by taking another relevant course.

For specific requirements and regulations concerning transferring credit, please see the <u>UCSB</u> <u>General Catalog</u>.

Variable Unit Courses

Some courses at Bren are set up with a range of units (e.g., 1-4 units), rather than a set amount, and students select the appropriate unit amount during registration. The appropriate number of units corresponds with the number of contact hours between the student and instructor. This allows Bren to offer a variety of special courses of varying lengths. For Special Topics courses, the appropriate number of units is noted in the <u>Schedule of Classes</u>. Most one-week intensive courses are 2 units. Students should verify their schedule on GOLD before the 15th day of the quarter and again before the last day of classes to make sure that it is accurate.

Examples of Bren School variable-unit courses include but are not limited to:

- ESM 293 Advanced Special Topics in Climate and Energy
- ESM 294 Advanced Special Topics in Environmental Law
- ESM 296 Advanced Special Topics in Environmental Management
- ESM 297 Advanced Special Topics in Environmental Policy
- ESM 298 Advanced Special Topics in Environmental Innovation & Entrepreneurship
- ESM 299 Advanced Special Topics in Environmental Science

Most courses at the Bren School are non-variable. They are offered for a specific number of units and the number of contact hours (and resulting workload) is not flexible. These non-variable unit classes cannot be taken for more or less than the number of specified units.

Please contact academics@bren.ucsb.edu with any questions regarding registration.

ESM 595 Group Studies

ESM 595 Group Studies in Environmental Science and Management is offered as 595AA-595ZZ. Generally, 595 courses (e.g., ESM 595AA) are restricted to PhD students. MESM and MEDS students may obtain an exception to enroll with the permission of the instructor. ESM 595 is a variable unit course depending on the workload determined by the faculty member. See the Schedule of Classes for ESM 595 courses that will be offered and the corresponding number of units.

ESM 596 Directed Readings and Research

ESM 596 is an independent study course under the supervision of a Bren School faculty member. A faculty member from another department cannot supervise ESM 596. ESM 596 is a variable unit course and may be taken for a letter grade or satisfactory/unsatisfactory (S/U). Faculty from other departments may supervise Bren students in their department's equivalent of ESM 596, such as GEOG 596; students should contact that department for an approval code.

PhD students should register on GOLD for the appropriate number of units of ESM 596 to maintain a course load of at least 12 units per quarter. If PhD students are not taking any other courses, they should enroll in 12 units of ESM 596. PhD students typically enroll in ESM 596 with their faculty advisor. No petition is required for PhD students to request an approval code to register for ESM 596. PhD students should contact academics@bren.ucsb.edu to receive an approval code to enroll in ESM 596.

For MESM and MEDS students, registration in ESM 596 requires an ESM 596 Petition approved and signed by the faculty member who has agreed to oversee their independent study. Master's students must confirm the appropriate number of units and grading options with their sponsoring faculty member. In addition, the student must describe the work to be completed and the method by which the faculty sponsor will evaluate the student's work. The petition must be submitted to the Graduate Advisor (Assistant Dean) for approval via academics@bren.ucsb.edu, preferably before the start of the quarter. No ESM 596 petitions will be accepted after the 3rd week of the quarter. MESM students may only apply a maximum of 4 units in ESM 596 towards their MESM degree. MEDS students may take ESM 596 as an elective but it will not count toward MEDS degree requirements.

Instructor Codes

Instructor codes are unique, two-digit numbers assigned to faculty in a given department, generally for the purpose of tracking independent study projects. If students are enrolling in ESM 596 or 599, and do so in a timely manner, they will not need the instructor code as they will merely select their advisor from a drop-down menu on GOLD. However, if a student is delayed in their enrollment and must submit a <u>Graduate Late Add Petition</u>, they will be required to furnish the appropriate instructor code. Bren's instructor codes are located at the bottom of each permanent faculty member's biography page on the Bren School <u>website</u>.

Auditing a Course

There is no official way to audit a course at UCSB. To "sit in" on a course, a student must first obtain the instructor's approval. If a student "sits in" on a course, they should not register for the course on GOLD and the course will not appear on the student's transcript.

Adding and Dropping Courses

UCSB policy allows graduate students to add and drop courses up until the last day of classes. Bren students typically do not add courses after the second or third week of the quarter unless they have been keeping up with the coursework and have permission from the instructor, or they add a short course with a late start date. Please keep in mind that Bren faculty and visiting instructors plan course activities based on the number of enrolled students. If a student is planning to drop a course, they should carefully consider the reasons and discuss them with the instructor prior to dropping the course. The instructor may be able to address the student's concerns so that the student can remain enrolled in the course.

After the first week of classes, an approval code is required to add a class using GOLD. Students may request an approval code from academics@bren.ucsb.edu. Approval codes can be used on GOLD until the last day of instruction for the quarter; after this date, any changes to a student's schedule can be made only by completing a Retroactive Schedule Adjustment Petition (see below).

Schedule Adjustment Petition

We urge students to make any schedule changes well before the end of the quarter. Retroactive adjustments made after instruction has ended can be petitioned using the <u>Retroactive Schedule Adjustment Petition</u>, available on the Graduate Division <u>Academic Petitions and Forms Website</u>. This form requires the Graduate Division Dean's approval and signature, in addition to the instructor and Bren Graduate Advisor (Assistant Dean). Students should complete the form, obtain the instructor's signature, and submit it to <u>academics@bren.ucsb.edu</u> for submittal to the Graduate Division.

Verifying Class Schedule

Each quarter, students should verify their schedule on GOLD at the beginning of the 3rd week of classes and again during the last week of instruction. Students may inadvertently register for the wrong number of units or forget to add or drop a course. If these problems are not addressed, they can result in failing grades or missing requirements. Adding or dropping any courses after the end of the quarter, require submission and multiple approvals on a Retroactive Schedule Adjustment Petition

Course Evaluations

Course evaluations (ESCIs, UCSB's Evaluation System for Courses and Instruction) are administered online during weeks nine and ten of the quarter through UCSB's Office of Instructional Development. UCSB provides a consistent set of questions for evaluation and invites students to offer additional feedback. All student responses are anonymous. Students are encouraged to provide written qualitative feedback but cautioned to avoid self-identifying details. To ensure fairness, evaluations are shared with instructors and TAs only after grades have been assigned.

Students are asked to provide thorough and constructive feedback for each instructor and course. Criticism or perceived problems should be paired with possible solutions. Evaluations are reviewed by both the instructor and the administration and are given careful consideration. Course evaluations are reviewed by UCSB's Academic Personnel office as part of faculty merit reviews and reappointments of visiting professors and lecturers. Student comments and scores can influence whether or not a faculty member receives a promotion and a visiting professor or lecturer is rehired.

GRADES

Letter grades assigned at UCSB are A, B, C, D, and F, including plus/minus (i.e., A+, A, A-). Non-letter grades are S (satisfactory) and U (unsatisfactory) for graduate level courses (200-599), P (passed) and NP (not passed) for undergraduate level courses (1-199), I (incomplete), IP (in progress), and NG (no grade). A satisfactory (S) grade is equivalent to a B or higher. An unsatisfactory (U) grade will be issued for work that is B- or lower. Students will receive no credit for a grade of U but they will receive credit for letter grades above F.

Core Course Grades

The Graduate Division requires students to maintain a GPA of 3.0 to remain in good academic standing. The Bren School adheres to the following policies for core courses:

MEDS

In Summer Quarter, EDS 214 is taken for a letter grade to establish a grade point average; all other EDS summer courses are S/U. From Fall Quarter through Spring Quarter, MEDS students must complete all EDS courses with a C or higher while maintaining an average GPA of 3.0 or higher in order to graduate.

MESM

MESM students must take all core and project courses for a letter grade and earn a grade of C or better. If students receive a C- or lower in a core or project course, they must repeat the course the following year. This grading policy applies to MESM core courses and Master's Projects courses (ESM 401 and ESM 402). There are no exceptions to this requirement. MESM core courses include the following:

- ESM 201 Ecology of Managed Ecosystems
- ESM 202 Environmental Biogeochemistry
- ESM 203 Earth Systems Science
- ESM 204 Economics of Environmental Management
- ESM 206 Statistics and Data Analysis for Environmental Science and Management
- ESM 207 Environmental Law and Policy
- ESM 210 Business and the Environment
- ESM 241 Environmental Politics and Policy

PhD

PhD core courses include ESM 512 Research Ethics and Conduct (2 units), ESM 513 Environmental Research Design (4 units), and ESM 514 Collaborative Interdisciplinary Research (4 units). PhD students must take ESM 512 (and possibly other courses) for a letter grade in order to establish a Grade Point Average (GPA) in their first quarter.

Elective Course Grades

The University considers a grade of B the threshold for "passing" at the graduate level. Only courses in which a grade of A, B, C, or S is earned may be used to satisfy requirements for the master's degree. Students typically are able to choose their preferred grading option (letter or non-letter) when enrolling in elective courses, although some courses may be restricted to a particular grading format.

S/U and P/NP Grades

Non-letter grades are S (satisfactory) and U (unsatisfactory) for graduate level courses and P (passed) and NP (not passed) for undergraduate courses. If a course has optional grading, the instructor assigns a letter grade to every student; for students that have elected the non-letter grade option in GOLD, the Registrar's Office automatically converts the letter grade to the corresponding non-letter grade option. For graduate courses, any grade less than a B (including B-) will be recorded as U if the S/U grading option is chosen and no credit will be assigned. Students who register for an undergraduate level class with P/NP grading option receive a P for C or better work and a NP for less than C work. In many cases, it is preferable for a graduate student to receive a letter grade rather than elect the S/U option. For example, if the letter grade option is chosen, students who receive a grade below a B but above an F will still receive credit for their performance in an elective course, and it can still be counted toward MESM specialization requirements, whereas no credit will be awarded for a U and the course will not count toward the degree. Students may change their grading options until the last day of instruction. MESM students may only count up to 8 units of S/U units toward their graduation requirements. MEDS students are required to take S/U for 10 units of MEDS core courses in Summer Quarter and all other MEDS courses for letter grades.

<u>Incomplete Grades</u>

An Incomplete (I) grade may be placed on a student's record only if:

- There is a compelling reason for incomplete coursework (i.e., family or medical emergency); and
- A <u>petition for an Incomplete grade</u> (available from the Registrar's Office) is completed and signed by the course instructor; and
- The petition for an Incomplete grade is on file in the Registrar's Office by the last day of instruction. Without an approved petition, a grade of F, U, NP, or NG will be recorded.

If unfinished coursework is not completed by the end of the following quarter, an I grade will turn into an F, U, or NP. With instructor approval, an I grade can be extended for one additional quarter by submitting an <u>Incomplete Extension Petition</u> to the Registrar before the end of the quarter. Please note that the Incomplete Extension Petition may not be submitted by a student. Only the course instructor or an authorized department representative may submit the petition via DocuSign.

No Grade (NG) & No Record (NR)

No Grade (NG) is a grade given by the Registrar when an instructor has not submitted a grade for a student and there is no Incomplete Petition on file. Usually, this is because the student did not complete the requirements for the course. Under some circumstances (e.g., a family emergency on the day of the final), an instructor will not report a grade and will submit a Grade Change Report once the student has completed the course requirements.

Students should contact their instructor and the Bren staff at academics@bren.ucsb.edu immediately if they receive an NG and believe it is a clerical error. An NG will convert to an F at the end of the subsequent quarter unless the instructor submits a Grade Change to the Registrar before the end of the subsequent quarter.

No Record (NR) is the notation used by the Registrar when the instructor has not submitted the course grades by the grade-reporting deadline. This usually occurs when the instructor needs more time to grade final exams or assignments. As soon as the instructor reports the grades, NR will be replaced by the grade submitted. If there is some question about the receipt of NR, students may contact the instructor or Academic Programs staff at academics@bren.ucsb.edu.

Students are allowed to carry NG and NR up to one quarter beyond when the course was originally undertaken before the NG or NR automatically reverts to a failing grade (F or U).

If students have 12 or more units of unfinished coursework, they will receive an advisory letter from the Graduate Division and be placed on Academic Probation. Excessive units of unfinished coursework will render a student ineligible for academic employment (e.g., Teaching Assistant or Graduate Student Researcher).

PETITIONS

Graduate Student Petitions

Requests involving exceptions to UCSB and Graduate Division policies and procedures require a <u>General Graduate Student Petition</u>. Appropriate uses of this petition include requests to transfer credit, take extension courses, waive Graduate Council requirements, or dual enrollment.

Graduate Student Petitions are available on the Graduate Division website under <u>Academic Services>Forms and Petitions</u>. Students must take the completed and signed petition to the Billing Office (SAASB 1212), pay a processing fee, and submit the form to the appropriate office.

Students who wish to add or drop a doctoral or master's degree objective, emphasis, or certificate program must complete a <u>Change of Degree Status Petition</u>.

ACADEMIC CONDUCT

The University of California has standards for academic conduct and the Bren School expects students to fully uphold and adhere to these standards. A guidance document on Academic Conduct can be found on UCSB's Judicial Affairs website. The Bren School is required to report known instances of inappropriate academic conduct and there can be significant consequences for students who do not uphold the standards.

Categories of Academic Misconduct

There are three general categories of academic misconduct:

- **Cheating:** Cheating is defined as using or attempting to use materials, information, study aids, or commercial "research" services not authorized by the instructor of the course. For most students, cheating is obviously wrong. However, some acts of cheating are less blatant than peeking at another person's test and copying answers. For example, all of the following are also cheating: working with others when independent work is expected; working with more than one other person when assigned to work in pairs; asking a roommate or peer about a question on a take-home exam.
- <u>Collusion:</u> Collusion occurs when students help others to engage in academic
 dishonesty or willingly allow it to occur. The University makes no distinction between
 those that cheat and/or plagiarize versus those who willingly allow it to occur. If
 students witness academic dishonesty, they are responsible for reporting it to the
 instructor or Assistant Dean.
- Plagiarism: Plagiarism is knowingly taking the words or ideas of another author and representing them as one's own. This is an increasing problem throughout universities, even at the graduate level. It is imperative that students thoroughly understand what it means to engage in plagiarism. Increasing numbers of incidents of plagiarism suggest that many students are unclear about what it is. If students have questions about plagiarism, they should contact the Academic Programs staff (academics@bren.ucsb.edu).

Conflict of Interest

The policy regarding Conflict of Interest (COI) in Graduate Education affirms joint student and faculty responsibilities in relation to potential conflicts of interest and provides mechanisms to ensure that outside activities are consistent with University policy. Students and faculty members may need to file COI forms if they are engaged in activities that present a conflict of interest. These may include, for example, working on a research project with a faculty member if the project may result in financial gain for the faculty member (e.g., a private business venture outside of the university).

Specifically, this policy seeks to identify cases where a faculty member's financial interest may have negative effects on a student's academic interests. It also seeks to ensure that students' participation in research projects with the private sector enhances their educational experience and does not unduly influence or restrict their academic choices.

The full COI policy may be found on the Graduate Division website at https://www.graddiv.ucsb.edu/academic/conflict-of-interest.

In order to protect a student's academic interests, faculty members and students need to disclose certain agreements or arrangements where conflicts with these interests may arise. Such disclosure should take place any time the agreements or arrangements arise as set forth below. When these agreements or arrangements are disclosed, procedures will be initiated to determine whether the agreements or arrangements are consistent with the student's academic interests. If not, consideration will be given to methods of resolution of these conflicts. The following agreements or arrangements should be disclosed to the Dean of the Graduate Division as soon as the student becomes aware of the facts leading to the disclosure obligation:

Agreements or arrangements between a student and a private entity involving research
activities by the student, where the University or a mentor/research/thesis/dissertation
advisor is a party to the agreement or arrangement, and the student's
mentor/research/thesis/dissertation advisor has a financial interest in the private entity.

And one or more of the following is true:

- The research activities are related to the student's thesis/dissertation.
- There are restrictions on the student's ability to publish, present, or otherwise disclose the findings from their research activities.
- There are restrictions on the student's ability to be employed by, or otherwise complete obligations with, the University.

Please see the Director of Admissions and Student Affairs regarding any concerns or questions about potential conflicts of interest.

Use of Human Subjects

Faculty and students who engage in research involving human subjects must first obtain prior approval from the UCSB Human Subjects Committee (HSC). To qualify as human subjects research as defined by the Common Rule, activities must fall under both definitions of (1) research and (2) human subjects under 45 CFR 46.102 (see below). See definitions below. If the activities fit the definitions of (1) research and (2) human subjects, then the activities are considered human subjects research and an application must be submitted to the HSC for review and approval (or exemption) before research can begin.

45 CFR 46.102 Definitions:

 "Research" means a systematic investigation, including research development, testing, and evaluation, designed to develop or contribute to generalizable knowledge. Activities that meet this definition constitute research for purposes of this policy, whether or not they are conducted or supported under a program that is considered research for other purposes. For example, some demonstration and service programs may include research activities.

- "Human subject" means a living individual about whom an investigator (whether professional or student) conducting research obtains:
 - a. Data through intervention or interaction with the individual, or
 - b. Identifiable private information.
 - **Intervention** includes both physical procedures by which data are gathered (for example, venipuncture) and manipulations of the subject or the subject's environment that are performed for research purposes.
 - **Interaction** includes communication or interpersonal contact between investigator and subject.
 - **Private information** includes information about behavior that occurs in a context in which an individual can reasonably expect that no observation or recording is taking place, and information that has been provided for specific purposes by an individual and which the individual can reasonably expect will not be made public (for example, a medical record). Private information must be individually identifiable (i.e., the identity of the subject is or may readily be ascertained by the investigator or associated with the information) in order for obtaining the information to constitute research involving human subjects.

In planning to use human subjects, please review the UCSB Office of Research website at https://www.research.ucsb.edu/human-subjects/about. To proceed with human subjects research, students must understand and abide by the University policies and procedures governing human subject research. It takes time to complete Human Subjects training, submit a protocol (methods and interview questions), and obtain approval from the HSC. Students conducting human subjects research must plan well in advance. The faculty advisor also must complete Human Subjects training and submit the protocol on behalf of the student(s). Under no circumstances may human subjects be used if prior approval has not been obtained.

FINANCIAL SUPPORT

The UCSB <u>Financial Aid Office</u> (http://www.finaid.ucsb.edu), not the Bren School, is the primary student resource for information about student loans and federal financial aid. The Bren website provides links to financial information and funding resources under the <u>Current Student Resources</u> section.

The <u>Graduate Student Resource Center</u> also has a Funding Peer available to help students search for funding opportunities and improve their financial knowledge.

Most master's students fund a portion of their education with loans or personal savings. Since the master's programs are full-time (40-50 hours per week), managing a job in addition to the academic program requires excellent time management and organizational skills. The Bren

School generally advises that 1st-year master's students not work during the first quarter, if possible, to assess whether or not they have the time and energy for both their master's program and a job.

Bren PhD students are informed of the extent of their financial support from the Bren School in the letter of admission to the program. Typically, 1st-year PhD students receive a recruitment incentive award from the Bren School or Graduate Division, may have a fellowship from an outside organization, or may be hired as an Academic Student Employee (such as Teaching Assistant or Graduate Student Researcher). Faculty advisors typically guide their PhD students to apply for grants and fellowships to support dissertation research. The Bren faculty advisor, not Bren staff, is the primary contact regarding financial support for continuing PhD students. Students are encouraged to communicate regularly with their faculty advisor regarding funding and they must discuss funding with their faculty advisor at least once a year during the Annual Review process. Summer funding availability varies. Some faculty advisors may offer summer funding in the form of a stipend or a Graduate Student Researcher position. Students are encouraged to seek other summer funding opportunities, such as mentoring an undergraduate student, working as a Teaching Assistant, and applying for grants or internships.

After the first year of study, international students receive the International Doctoral Research Fellowship (IDRF) to pay for non-resident supplemental tuition (NRST) until advancement to candidacy (within normative time). After advancement to candidacy, NRST is waived for three years for international and non-resident doctoral students.

Free Application for Federal Student Aid

All Bren graduate students who are U.S. citizens or residents should submit a Free Application for Federal Student Aid (FAFSA). The FAFSA is required if students want to be considered for any federal, university, or Bren financial support. The FAFSA is used to compile a "need analysis" to determine all financial support packages, including grants, fellowships, work-study, and loans. The application is available at https://studentaid.gov/h/apply-for-aid/fafsa and the deadline for the subsequent Fall Quarter is typically in early March. All domestic Bren PhD students should fill out a FAFSA every year to be considered for funding.

Due to the Summer Quarter start of the MEDS program, MEDS students who are US citizens or residents must submit a FAFSA for both the incoming academic year and the year prior (e.g. a MEDS student in the Class of 2024 must submit a 2023-2024 FAFSA and a 2022-2023 FAFSA) to qualify for financial aid throughout the duration of the program.

Recruitment Incentive Awards

The Bren School receives some state funds (Block Grant) for recruitment incentive awards to pay academic fees, non-resident supplemental tuition, health insurance, and/or stipends. In addition, some generous donors have provided the Bren School with gifts and/or endowments for student fellowships.

Recruitment incentives typically are allocated to incoming Bren graduate students in all degree programs who have demonstrated academic excellence and financial need. Incoming master's students who receive financial support in the form of Block Grant and/or donor funds are advised that the award is a recruitment incentive and is typically for the first year only. Multi-year funding plans, primarily for PhD students, are described in the letter of admission and financial aid.

Recruitment incentive awards that pay for academic fees, non-resident supplemental tuition (NRST), Professional Degree Supplemental Tuition (PDST), and health insurance are distributed directly through the students' Billing Accounts Receivable Collections (BARC) accounts and these awards are non-taxable. Some students also may receive a stipend as part of their recruitment incentive award. The stipend may be distributed via direct deposit to a student bank account through eRefund (preferred) or hard copy check and picked up at the Billing Office in the Student Affairs Administrative Services Building. To sign up for eRefund, please follow the instructions found on the <u>eRefund website</u>. Outstanding BARC balances may result in a promised stipend being applied to fees rather than being paid to the student.

Due to the process required to synchronize financial procedures at the Bren School, Graduate Division, and Registrar's Office, these awards may not appear on a BARC account until the first day of classes. If students do not see a credit for their recruitment award after the first day of classes, they should notify the Student Affairs staff.

If a student receives a recruitment incentive award and subsequently secures a Teaching Assistant or Graduate Student Researcher appointment, they must immediately notify the Student Affairs staff. Student academic appointments of at least 25% time pay for the student's academic fees and health insurance in addition to salary, and thus require the Student Affairs staff to modify or defer recruitment incentive awards. It is not possible to reallocate recruitment incentives to different funding types, so students should factor that into their employment and financial decisions. For example, a recruitment incentive that pays for tuition or UC SHIP may not be reallocated as a stipend if the student receives a Teaching Assistant position, but could be used to pay for Professional Degree Supplemental Tuition (another form of tuition).

University-Based Fellowships

The Graduate Division offers Recruitment and Central fellowships to qualified new and continuing PhD students, respectively. All newly admitted PhD students are reviewed by the Recruitment, Admissions and Support (RAS) Committee and may be nominated for Recruitment Fellowships.

In Winter Quarter, the Student Affairs team sends a call to continuing PhD students for applications for Central Fellowships. The RAS Committee reviews and ranks the applications and a Central Fellowship Faculty Committee then selects the Central Fellows from a broad pool including students from other departments. For other fellowships, continuing students may apply directly to the Graduate Division or other funding agencies and organizations. For more

information about university-based and other fellowships, please review the financial information available on the Graduate Division's website at www.graddiv.ucsb.edu/financial/.

Philanthropy-Based Fellowships and Student Support

The Bren School receives philanthropic gifts from donors that directly benefit students through fellowships and other support such as Group Projects, and conference support. Qualifications to receive funding vary based on Bren priorities and donor intent. Students that accept donor-funded support are required to participate in stewardship such as meeting with the donor, writing thank you cards, writing testimonials and sharing photos, and attending events. Such personal engagement with donors provides students with valuable connections to individuals and groups invested in their education. The Bren development team leads these efforts, based on the Dean's priorities, in coordination with Bren faculty and staff.

Student Academic Appointments

At UCSB, student academic appointments include Teaching Assistant (TA), Teaching Associate, Tutor, Reader, and Graduate Student Researcher (GSR) positions. If a student is employed for less than 100 hours per quarter (<25% time), then the student will receive an hourly wage or salary only. If a student is employed more than 100 hours per quarter (>25% time), then the employer (e.g., the academic department for a TA, tutor, or reader, or a faculty member for GSR) is responsible for paying the student's salary, academic fees, and health insurance. Student TA appointments do not cover non-resident supplemental tuition (NRST) or professional degree supplemental tuition (PDST).

Students may be employed up to 50% time in an academic appointment if the student is in good standing. If a domestic graduate student wishes to pursue an appointment at 51-75% time, they must contact academics@bren.ucsb.edu to request a departmental exception. International students are never permitted to work more than 50% time due to visa restrictions. Domestic students are typically not permitted to have more than 75%-time employment through academic appointments. Rare exceptions may be made by the Graduate Division Dean.

When accepting a new academic appointment, students must disclose to the employer (academic department or faculty member) whether or not they already hold an academic appointment and, if so, at what percent time. Read the full guidelines for Student Academic Employment at the Graduate Division website.

Employment in another department requires the hiring department to request approval by Bren by submitting an Eligibility Verification by Home Department request to academics@bren.ucsb.edu. The Assistant Dean will review the student's standing and academic progress for approval. The Graduate Division requires this approval for all non-home department hiring.

Teaching Assistantships

The Bren School offers approximately thirty 50%-time TA positions per year, primarily to support master's core and lab courses. Only PhD students may be employed as TAs and Readers at the Bren School. No MEDS or MESM students may be employed as Bren TAs or Readers. UCSB policy prohibits students from serving in a capacity in which they formally evaluate (i.e., grade) their peers. MEDS, MESM, and PhD students may hold academic appointments in other UCSB departments that offer undergraduate courses (such as Environmental Studies). MEDS, MESM, and PhD students also may serve as Bren Tutors who provide guidance and advice but no formal evaluation, or as GSRs who work on research projects with a particular faculty member.

Any PhD students interested in a TA position at the Bren School are strongly encouraged to enroll in the course(s) during their first or second year. To apply for a TA position at the Bren School, students should respond to the call for TA applications circulated by the Student Affairs staff in March. Students should complete the application and indicate the courses they would like to TA and their qualifications. Bren TA assignments for the following academic year are typically completed the prior June.

Students interested in serving as a TA in another department on campus should contact the department's Student Affairs staff or Graduate Advisor for guidance on how to apply. The UCSB <u>Academic Student Employment Positions page</u> has contact information for all departments that have TA positions available, though the page may not necessarily reflect all available positions.

Graduate Student Researchers

A Graduate Student Researcher (GSR) is a graduate student who assists a faculty member with scholarly research and is paid from extramural funds that the faculty member has secured through funding agencies. Often, a GSR collaborates in the publication of research results, as determined by supervising faculty members. As such, GSRs are selected for their high achievement or productive scholarship. GSRs are not assigned teaching, administrative, or general assistance duties. The number of GSRs available each year depends upon the level of extramural support that has been secured by the faculty. These research positions are typically reserved for PhD students, though in rare cases MEDS and MESM students may secure a GSR appointment.

GSR positions are arranged on an individual basis between the student and a faculty member who is the Principal Investigator on a research grant. The Bren School (at the school/department level) does not offer GSR positions to students. However, the Bren School faculty (and faculty at other UCSB departments) may offer GSR positions. Students arrange GSR positions by speaking directly with the faculty member managing the research project. Only the faculty member who has been awarded the funding can hire a student as a GSR.

Conference Funding

Bren graduate students may apply for conference funding if they are planning to attend a conference to present a paper or poster, or seek a unique learning opportunity.

PhD students should discuss the conference with their faculty advisor and ask if their faculty advisor has funding to pay for conference expenses. If the faculty advisor does not have funding, then the advisor needs to sign the conference funding application confirming they do not have funding to pay for their student to attend the conference.

To apply for conference funding, students must complete the <u>Bren Conference Funding Request Form</u>. Students must include the name of the conference, dates, and location. The student must attach a pdf including (1) the conference brochure, (2) verification that the student's paper or poster was accepted to the conference, and (3) a budget with a justification. The budget may include such items as conference registration; cost of transportation by air, rental car, taxi, bus, or train; parking; hotel room; and poster printing. The budget for conference funding should not include food or beverages. Budgets must include a written justification with an explanation of all essential costs. The justification should be supported by evidence of estimates for conference registration, airfare, hotel, etc.

The Bren School may award students up to \$500 per student per year to travel to a domestic conference and up to \$1,000 per student per year to travel to an international conference. A student will not be awarded conference funding more than once per year. Conference funding is limited and not all students who apply may receive conference funding every year. Funding is awarded on a first-come, first-served basis and applications will be denied when the available funding is fully committed for the year. If two or more students wish to present the same paper or poster at a conference (e.g., MESM Group Project), then the students attending the conference will need to split the available conference funding for domestic (\$500) or international (\$1,000) conferences.

One condition of receiving conference funding is that students must submit photos of their experience. Students should take a few photos of themselves at the conference (e.g., with a conference sign) or with their poster or with an iconic symbol of the conference location. The students should send the photos to media@bren.ucsb.edu. With permission from the student, these photos may be used for marketing by the Bren School.

Information about travel policies, procedures, and forms is on the Bren website at https://bren.ucsb.edu/travel. If needed, a student may request a Travel Advance to pay for items such as airfare. If an estimate of expenses is greater than the actual expenses, then the student will need to repay the difference. Following travel, students must complete a Domestic or Foreign Travel Reimbursement Worksheet and include original receipts to be reimbursed for approved expenses. For more information about travel reimbursement, please contact travel@bren.ucsb.edu.

Student Off-campus Travel Insurance

Students participating in off-campus activities sponsored and supervised by the University are covered worldwide, 24 hours a day, when traveling out of state or overseas, by ACE USA. To review student insurance benefits, please refer directly to the ACE USA website: http://www.theadvantagegroupllc.com/insurance-company/ace-ina-insurance.

To be covered, students participating in UC-sponsored programs and traveling out-of-state or overseas MUST register their travel plans prior to departure. Students can register online https://doi.org/10.1001/jhth.com/. Upon registration, students will receive a Confirmation of Coverage card, which should be carried by the traveler until they have returned from the trip.

UCSB Financial Crisis Team

The <u>UCSB Financial Crisis Response Team</u> assists students who are experiencing a financial crisis. They streamline access to financial assistance for students in need and provide a single email point of contact (<u>Financialcrisis@sa.ucsb.edu</u>) for students. The multi-disciplinary team works closely with the Office of Financial Aid and Scholarships and other campus resources to evaluate and respond to students in urgent financial need. Depending on the individual situation, a student will either complete an online form (via a link that will be emailed to them) or meet with an advisor, or both. This team has access to limited emergency funds for one-time grant awards to students with no other options. Additionally, an emergency loan program has been established to assist international students in need.

Basic Needs

UCSB established a Food Security and Basic Needs Taskforce in response to a survey conducted by the Office of the President that revealed that 42% of UC students were food insecure. Foodbank.as.ucsb.edu provides information regarding healthy and sustainable food options at UCSB and in the local community. It also serves as a communication hub for learning about the campus food justice movement and efforts to reduce food insecurity and create a more sustainable and just food system. Basic Needs resources for Food, Housing, Financial, Technology and Academic needs can be found at https://basicneeds.ucsb.edu/.

CAREER DEVELOPMENT

Career-Advising Services and Policies

The Career and Alumni Team provide career assistance to Bren graduate students as well as limited services to alumni. Find details in our <u>Student Career-Advising Services and Policies</u> and <u>Alumni Career-Advising Services and Policies</u> on BrenConnect (login required) and an overview of the timeline here:

• **Full student services:** From Day 1 of Summer Quarter classes (for MEDS students) and from Day 1 of Fall Quarter classes (for MESM and PhD students) during their

matriculation year through July 31 of their graduation year. Since current students are the first priority and alumni services are more limited, the Career Team expects students to leverage their access to full services while enrolled in school.

• Limited alumni career-advising services (2 phases): From August 1 of the graduation year onward, in 2 phases (Phase 1: August 1 – December 31 and Phase 2: January 1 onward). This shift helps staff prepare for incoming and current MESM, MEDS, and PhD students throughout the year. Currently provided at no charge, this includes full BrenConnect access, access to the Bren alumni e-newsletter, and limited alumni career-advising in 2 phases (e.g., In Phase 1, appointments and global document reviews are limited to 30 minutes. In Phase 2, the team can answer specific job search and career development questions, but availability may be limited, especially during peak times requiring current student attention; document reviews are no longer available in this phase).

Career Development Program Structure

The Career Development Program is strategically designed to support current students with an overall structure as follows:

- **For MEDS students**, the Career Team guides students through a strategically planned 11-month Career Development Program including a workshop series, mandatory and by-request individual advising, and weekly RAD (Read-Attend-Do) emails designed to support students' post-Bren job search and development of lifelong career skills.
- **For MESM students**, the Career Team guides students through a strategically planned 2-year Career Development Program including a workshop series, mandatory and by-request individual advising, and weekly RAD (Read-Attend-Do) emails designed to support students' summer internship search, post-Bren job search, and development of lifelong career skills.
- PhD students have access to Bren career advising, workshops, and talks at their own pace to meet their varying career needs and graduation/job search timelines. PhD students are invited and encouraged to attend any MESM career development workshop that applies to their specific needs. The Career Team introduces PhD students to services during Orientation. Additionally, PhD students have full access to <a href="https://www.uccestator.com/uc

Career Services Description

Career services for current students include:

- 1-on-1 career advising: This includes select mandatory appointments for MEDS and MESM students and by-request mock interviews, document reviews, job/internship search strategy sessions, and other career advising for all students. To request assistance, follow the instructions in our <u>Student Career-Advising Services and Policies</u> on BrenConnect (login required).
- Career workshop series, employer/alumni career talks, recruiting sessions, and networking events: The Career Team will provide schedule details via email, and MEDS and MESM workshops will be scheduled onto students' Google calendars.
 - MEDS workshops and career talks are typically Thursdays from 8:15 am –
 9:15 am (any changes will be communicated). The Career Team may also offer workshops, talks, events, etc. during some of the MESM workshop timeslots which are on Wednesdays and Thursdays from 11:00 am 12:00 pm if it would be beneficial for both MEDS and MESM students to attend. For more details, see the MEDS Career Workshop/Speaker Schedule + Related Slides and Recordings on BrenConnect (login required).
 - MESM workshops, career talks, and other events (also open to PhD students) are typically Wednesdays and Thursdays from 11:00 am 12:00 pm, with 1st-year MESM workshops held on Wednesdays, 2nd-year MESM workshops held on Thursdays, and other events scheduled for either day. For more details, see the MESM and PhD Career Workshop/Speaker Schedule + Related Slides and Recordings on BrenConnect (login required).
 - Note: MESM students may also be able to attend some data science-focused career talks. If/when this is the case, the Career Team will add the event to students' Google calendars and provide information via the weekly career Read-Attend-Do (RAD) and This Week at Bren (TWAB) emails.
 - PhD student workshops, career talks, and other events: PhD students are invited and encouraged to attend any MESM workshops that fit their specific career development needs and graduation timelines as well as any PhD-focused workshops; these are all typically held on Wednesdays and Thursdays from 11:00 am 12:00 pm. For more details, see the MESM and PhD Career Workshop/Speaker Schedule + Related Slides and Recordings on BrenConnect (login required).
 - Note: PhD students may also be able to attend some data science-focused career talks. If/when this is the case, the Career Team will share details via the This Week at Bren (TWAB) emails.

- Access to <u>BrenConnect</u> (Career Resources and Networking Hub): New students
 will receive instructions for creating an account for this password-protected site during
 their respective introductory career workshops during orientation. Please do not create
 an account before then. Current students may log in <u>here</u>. BrenConnect provides access
 to the following:
 - Alumni & Student Finder: Searchable database of alumni and student employment and contact information.
 - <u>Bren-Specific Career Articles</u>: 24/7 access to the Career Team's tips and tricks, including resume and interviewing guides, example documents, negotiating strategies, professional development resources, and more.
 - O Job/Internship Postings, Conference Opportunities, and Online Networking Groups: Access to 1) class-specific MEDS and MESM job/internship groups (students will be automatically added) with relevant internships, fellowships, and/or post-Bren jobs; 2) our Job Postings group open to all students and alumni; 3) a conferences, trainings, and events board; and 4) any user-managed field- or interest-specific groups, e.g., the Bren Alumni & Students of Color Association (BASCA) group.
 - Note: The Career Team (and sometimes faculty) distributes relevant academic/research-focused opportunities via the Bren PhD listserv rather than BrenConnect. PhD students interested in non-academic opportunities should 1) join the BrenConnect Job Postings group to access post-Bren positions and/or 2) contact the Career Team to sign up to receive non-academic summer internship announcements via BrenConnect.
- Weekly RAD (Read-Attend-Do) Career Guidance Emails: MEDS and MESM students will receive weekly RAD emails outlining what to 1) Read (a timely tip or BrenConnect article), 2) Attend (career workshops or talks), and 3) Do (timely action items) to stay on track with their career development and summer internship or post-Bren job search.

MESM Summer Internship Fellowships (SIF)

The Bren School places a strong emphasis on professional experience and expects all MESM students to complete internships between their first and second years. With funding from generous donors and the professional degree supplemental tuition (PDST), the Bren School offers Summer Internship Fellowship (SIF) funding to MESM students completing unpaid or low-paying internships to help offset a portion of their internship-related expenses. The Career Team sends the SIF application to students at the beginning of Winter Quarter.

To apply, students must be in good academic standing and meet other fellowship program requirements. For more details, see the <u>Bren Summer Internship Funding (SIF) Info</u> on

BrenConnect (login required);however, please note that the SIF information on BrenConnect is subject to change year to year and is updated yearly before the new application goes out at the start of Winter Quarter.

Professional Associations

Subject to sufficient student interest, Bren students have the opportunity to participate in student chapters of Net Impact, Association of Environmental Professionals (AEP), and American Planning Association (APA), including hosting career speakers, participating in conferences, and/or volunteering at relevant events. These associations are student-run: Students are responsible for holding meetings, managing budgets, electing officers, and setting yearly agendas. See the Bren Student Professional Association Chapters article on BrenConnect (login required) to learn more.

COMMUNICATION & TECHNOLOGY RESOURCES

<u>Mail</u>

Each Bren student has an assigned folder located in the filing cabinet in the Student Mailroom (BH 2406). The mailroom is typically open Monday through Friday from 8:00 am - 5:00 pm. The most common use for student folders is for professors and TAs to return homework assignments and exams. Students are responsible for checking their folders regularly. Students are advised to direct important mail to their personal residences.

Faculty and staff mailboxes are located in BH 2530. The faculty and staff mailroom and the Dean's Suite are open Monday through Friday from 8:00 am - 5:00 pm, except for occasional early closures for special events.

Incoming and outgoing mail is limited to official University business only. No stamped mail is accepted in the outgoing mailbox in the Dean's Suite. All off-campus mail must have a budget code marked on the envelope so that the correct account is charged for the mailing expense. UCSB Mail Services will not process stamped personal mail.

To send personal mail, a United States Post Office is located on the ground floor of the UCen, and the nearest mailbox for stamped personal mail is behind the Materials Research Laboratory (MRL) building (where there are also drop boxes for FedEx and UPS).

When students leave the University (temporarily or permanently), the United States Postal Service (USPS) will not forward their mail addressed to the University of California. Unfortunately, submitting a "Change of Address" to the USPS for a University address will not work. Therefore, students need to change their address well in advance of their departure from the University, as the Bren School will not be able to forward mail.

Copy Machines

Copy machines at the Bren School are for use by staff, faculty, and Teaching Assistants employed by the Bren School. The closest public copiers for student use are located in the Davidson Library and UCen (<u>SBPrinter.com</u>).

Printing

To conserve natural resources, the Bren School discourages hard copy printing unless absolutely necessary. Before printing, please consider using a digital alternative. Printing costs in the Bren School are \$0.03 for black and white and \$0.20 for color. Pages print double-sided by default and charges are per page of the document, not per piece of paper. MESM, MEDS, and PhD students receive \$30 per year of free printing. This is to cover light printing and printing mishaps (jams, double prints). Canceled jobs and errors, even those caused by machine error, cannot be credited so always exercise caution before hitting the print button. Financial managers for master's group and capstone projects may move funds from the group's account to an individual's print account so that person can print on behalf of the group.

Fax Machine

A fax machine is located in the Dean's Suite, which is open on Monday through Friday from 8:00 am - 4:00 pm. The machine may not be used for personal faxes. To use the fax machine, please see the Assistant to the Deans (BH 2400A).

Students may receive faxes using the Bren School's fax machine. The number for incoming faxes is 805-893-7612. Make sure the sender indicates "Bren School Student" and includes the student's name on the fax cover sheet. If the fax is clearly labeled, it will be placed in the student's folder in the mailroom; otherwise, if a student expects a fax, they should consult with the Assistant to the Deans (BH 2400A).

Video Meeting and Computing Equipment

The Bren School has a variety of technology equipment (e.g., laptops, webcams, microphones, laptop dongles) available for instructional purposes, special presentations, and committee and research-related video meetings. This equipment must be reserved at least 24 hours in advance by contacting the Compute Team at compute@bren.ucsb.edu. Priority is given to instructional uses of the equipment, and there is no overnight checkout. Feel free to ask for instructions on how to use the equipment.

Students are responsible for the pickup and setup of the equipment and must immediately return it after use. Students will be held accountable for any lost or damaged items. If there is a mechanical problem with the equipment, please notify a Bren School staff member immediately.

If students, faculty, and staff use a projector in a classroom, it is imperative that they log off the computer and turn the projector off before leaving. To turn off the projectors in most rooms, press the POWER button on the remote control <u>twice</u>. If the POWER button is only pressed

once, the projector will remain ON. Some projectors have separate ON and OFF buttons. Please conserve our community resources; projector bulbs are expensive (\$500/bulb).

Computing / IT Help Desk

For assistance with Computing, students may submit a help ticket to compute@bren.ucsb.edu. The IT Help Desk Office, located in BH 3516, is available for the Bren community to obtain computer support as a walk-in service. A Compute Team staff member is present to offer assistance from 8:00 am to 5:00 pm, Monday through Friday, focused mainly on providing support for drop-in users, and addressing existing helpdesk tickets. A ticket will be generated for a specific request. Most requests can be addressed immediately. However, if it is not possible to wait for the Help Desk staff or if the request is complex, then the ticket will be transferred to the appropriate Compute Team staff member for resolution. Once the request is addressed, the ticket will be closed. A client may reopen the ticket if additional help is needed or provide feedback.

<u>Email</u>

Please review the email use policies posted on the Bren website under Resources Computing. Email is used frequently to communicate among Bren faculty, staff, and students. To help reduce inefficiencies caused by excess or abundant email, please cc others only when they really need to know. In most cases, if an email is requesting action, it is best to address the email to only one person (and cc others as appropriate) so it is clear who needs to take responsibility. Please be consistently professional in email correspondence and realize that email messages may be forwarded to others. Forward emails with extreme care and respect. If forwarding an email written by someone else, it is generally best to get their approval before sharing it with others. If possible, communicate by phone or in person (not by email) if the subject matter has the possibility of creating conflict or controversy and/or has the potential to be misunderstood.

<u>HelpDesk</u>

The Bren School has established alias email addresses for help, repairs, and frequently needed services. Please use these email addresses for requests so they can be properly directed and handled. Normally, more than one staff member monitors these queues so that emails will not be missed if one person is out of the office. Bren School <u>email aliases</u> include:

- academics@bren for general requests from current students on topics such as
 registering for classes, grade reporting, approval codes, student employment,
 fellowships or billing, academic advising, and other questions or concerns for Academic
 Programs and Student Affairs staff
- **admissions@bren** for prospective students and recently admitted students to receive information on admissions, application process, webinars, open houses, and visiting Bren
- **brenhall@bren** to submit help requests for building related issues

- **career@bren** for current students to obtain information and/or request assistance from the career development staff
- compute@bren to report issues relating to networks or computers or other hardware/software problems at the Bren school
- events@bren to provide events staff with information about upcoming Bren School events planned by faculty, students, and staff
- **finance@bren** for inquiries related to accounts, budgets, late payments, finance issues, etc.
- **info@bren** for general inquiries to the Bren School
- media@bren to provide potentially newsworthy information that promotes the Bren School, its students, and/or its faculty
- personnel@bren for inquiries related to non-academic new hire requests (staff and students), funding updates for existing appointments, foreign visa requests (J1s, etc.), time care and/or payroll questions, salary transfer requests, Kronos timekeeping questions
- projects@bren to obtain information about and to submit MEDS Capstone Project proposals and MESM Group Project proposals
- **purchasing@bren** for purchasing requests
- **scheduling@bren** for Bren students, staff, and faculty to request use of a room in Bren Hall and to receive assistance regarding any scheduling related activities. Go to booking.bren.ucsb.edu for self-service room reservations.
- **travel@bren** for requests involving travel, visas, vehicle reservations, or parking permits, etc.
- webmaster@bren to request changes to or report problems with the Bren website.

Email Listservs

A number of listservs exist to facilitate email communication with large groups within the Bren School. For example, an email sent to mesm2024@bren.ucsb.edu will be distributed to all MESM students in the Class of 2024. A list of the most common Bren School listservs can be found on the Bren website under Resources>Faculty and Staff. Please note that these listservs are for business use and are not for advertising products for sale, seeking rides, announcing a personal party, expressing personal opinions, etc. The Bren-random listserv (see below) should be used for messages that are not official Bren business. Please contact academics@bren.ucsb.edu if you have questions or need assistance sending a message to a specific group at Bren.

Bren-Alerts

Bren-Alerts is an email listserv used to announce Bren events that are open to the public. Bren graduate students receive messages distributed to Bren-Alerts automatically via their Bren email addresses. To request the announcement of a public event, students should send the announcement to events@bren.ucsb.edu and it will be distributed by the Senior Events Manager as appropriate. Students cannot directly distribute messages through Bren-Alerts. Announcements of non-public events may be made through the email aliases described below. Students are encouraged to sign up for Bren-Alerts with a personal address after graduation to continue to receive announcements about Bren public events as alumni.

Events

The schedule of public events (and recent events) is on the <u>Bren School website</u>. In addition, an announcement is distributed to all Bren graduate students in advance of an event. Events not open to the public but open to Bren graduate students will be announced using an appropriate email list.

Printed announcements and flyers may not be posted on walls or elevators in Bren Hall. All notices should be posted on the bulletin boards in the Student Kitchen, BH 3328, Student Mailroom (BH 2406), or Faculty/Staff Mailroom (BH 2530). Please check with the Bren Hall Building Manager regarding the rules for proper posting before posting flyers in other campus locations. As with the use of the Bren listservs, please consider whether these venues are appropriate for flyers and refrain from posting inappropriate items.

Bren-Random

The Bren-random Google Group is for Bren students, alumni, faculty, staff, employers, and members of the community who would like to send and/or receive group messages other than official Bren business (e.g., advertising products for sale, seeking rides, requesting assistance, expressing personal opinions, etc.). To subscribe to Bren-random, please follow the instructions on the Bren website under Resources>Computing. Communication on Bren-random is viewed by a wide range of individuals since it is available to anyone in the Bren community. Students should consider how others in the Bren community and beyond may perceive their communication via Bren-random. The Bren School does not allow the use of profanity or language that is disrespectful to others on Bren-random. The Bren School administration reserves the right to remove Bren-random users who violate these guidelines.

Scheduling Meetings with Faculty and Staff

Students should consult the <u>Google Calendars</u> of faculty and staff to identify potential dates/times for meetings. Scheduling meetings with faculty and staff should not be done without their permission. After consulting the Google Calendar, students should email faculty or staff to request a meeting date/time. Students should suggest a few possible dates/times that might work based on the review of Google Calendar schedules. If a response is not received within a reasonable amount of time, please follow up as appropriate. Meetings with the Dean should be scheduled through the Assistant to the Deans (BH 2400A).

Bren School Logo

Students may access several logo styles as well as Bren's Visual Identity Guide by navigating to the "Communications and Web Resources page" on the Bren School website.

COUNSELING & PSYCHOLOGICAL SERVICES

All registered UCSB students are eligible for Counseling & Psychological Services (CAPS). When help is needed to address a personal concern, CAPS is a resource for learning new skills in building self-confidence, relating to others, reducing stress, solving problems, and identifying options. Students can make an appointment to see a counselor individually or as a group; counselors are also available to meet with students on a walk-in basis. Phone consultation is available 24/7 at 805-893-4411. The main CAPS building (Building 599), shared with UCSB Career Services, is located across the bike path from the Arts Building and Storke Tower. For more information, please visit the CAPS website.

Graduate Division Academic Counseling

The Graduate Division offers academic counseling services geared specifically toward graduate students. The <u>Graduate Academic Counselor</u> Ryan Sims (<u>ryan.sims@graddiv.ucsb.edu</u>; 805-893-2068; Cheadle Hall 3rd Floor) is available to support students in their academic journey, including academic support, time management skills, communication skills, and referrals to campus resources. The Graduate Division also provides resources to support the academic, personal, and professional success of UCSB graduate students. Please visit the <u>Graduate Student Resource Center</u> for access to resources such as writing tutors, peer advisors, events, and workshops.

Disabled Students Program

The Disabled Students Program (DSP), located at 2120 Student Resource Building serves as the campus liaison regarding issues and regulations related to students with disabilities. With support from DSP, students may be eligible for such services as additional time on exams, individual exam rooms, and note-taking services. Students with disabilities are responsible for reaching out to DSP and providing appropriate documentation. The DSP staff works in an advisory capacity with campus departments to ensure that equal access is provided to all disabled students.

Office of the Ombuds

The Office of the Ombuds is a confidential, impartial, informal, and independent resource for conflict management that serves all members of the UCSB community, including faculty, staff, and students. The ombuds assist those who seek guidance to resolve academic or administrative issues and disputes that are not adequately addressed through other University procedures. The Office of the Ombuds is a safe, confidential, and impartial place to express concerns.

The ombuds advocate for fairness and work to ensure that all members of the University community receive equitable treatment. The ombuds serve the campus community by helping to resolve complaints, providing information and referrals, and making recommendations for constructive change when University policies or procedures generate conflicts or concerns. The ombuds adhere to professional standards of practice to create an environment where members of the UCSB community can obtain information, review options, and resolve problems. The ombuds are committed to facilitating campus-wide conflict management with an emphasis on conflict prevention.

The Office of the Ombuds does not communicate via email, as email is not confidential. Students are discouraged from using email to contact the Office of the Ombuds about confidential matters, including scheduling an appointment. The Office of the Ombuds may be reached at 805-893-3285.

BREN HALL BUILDING INFO

Stewardship of Bren Hall

Bren Hall was built in 2002 and was the first laboratory building in the United States to receive the U.S. Green Building Council's Platinum LEED® accreditation. In August 2009, Bren Hall became the nation's first building to earn two LEED® Platinum certifications when it was recertified at the Platinum level for Existing Buildings—Operations & Maintenance (LEED EB O&M). In August 2017, Bren Hall was recertified at the LEED® Platinum level for Existing Buildings — Operations & Maintenance, making it the first building in the country to receive three platinum LEED certifications. We are proud of our building and appreciate the wonderful educational and work environment that it provides.

The Bren School exhibits a commitment to a high standard of maintenance and cleanliness. The University provides limited janitorial support. Thus, Bren Hall occupants (faculty, staff, and students) must maintain the cleanliness and tidiness of the building so that we have a clean and comfortable workspace. Students are expected to do their part to keep the building clean, pick up after themselves, and maintain student spaces. Many tours of Bren Hall are given throughout the year, and occasionally, individuals touring the building are considering a gift to the school to support students, programs, and/or research activities. It is important that Bren Hall always remain presentable for the comfort of occupants and visitors.

Emergencies/Safety

If there is an emergency or security issue, call **911** from your mobile phone or **9-911** from a campus phone. For non-emergencies, call the UCSB police at 805-893-3446 from your mobile phone or extension 3446 from a campus phone. The **Bren Hall building number is 521** and will be requested by the 911 dispatcher. Please do not hesitate to report suspicious activity or strangers in or around Bren Hall to any Bren staff or faculty member. Community Service

Organization (CSO) Escorts may be arranged by calling 805-893-2000 from your mobile phone or extension 2000 from a campus phone.

First Aid kits are in the Student Kitchen (BH 3328) and Dean's Suite Kitchen. Please report any health or safety incidents to our Department Safety Representative at bren.ucsb.edu.

If an alarm sounds, everyone MUST vacate Bren Hall, even if it is a test. Some potentially hazardous chemicals may be used for research in Bren Hall, so it is imperative that everyone immediately leave the building once an alarm sounds. University police may ticket people who do not vacate the building when the alarm sounds.

During an alarm, Bren Hall occupants should meet on the lawn between Bren Hall and Harold Frank Hall (Engineering) near the bike path. By everyone gathering in one location during an emergency, administrators are better able to assess whether all occupants are out of the building and emergency personnel (wearing emergency vests) can provide instructions efficiently.

<u>Keys</u>

If locked out of a room, please see the Assistant to the Deans in the Dean's Suite (BH 2400A) during business hours. If a key for an office, lab, or meeting room is needed on an ongoing basis, please ask the faculty or staff sponsor to send an email to the Assistant to the Deans to authorize the request. The Assistant to the Deans cannot issue a key to a student until authorization has been received.

Building Security

Bren Hall is typically unlocked between the hours of 7:30 am and 5:30 pm on weekdays. Special authorization is required for entry into the laboratory wing after regular business hours via the elevators and the north lab wing entrance doors. This includes the Davidson Student Commons (BH 3330), Student Computing Facility (BH 3022), and GIS Lab (BH 3035). Bren students requesting after-hours access will need to purchase color Access Cards (available in the UCen) and complete the enrollment process with the Compute Team. Instructions are available online under Resources>Computing.

To keep the elevator doors open for an extended period of time, use the DH (door hold) button. Holding the doors open manually causes the elevators to freeze, and maintenance will need to come to unfreeze and allow the elevator to function again.

For security and fire safety, doors to Bren Hall must <u>never</u> be propped open after regular business hours.

Classrooms/Meeting Rooms

Bren Hall has a variety of classrooms, labs, and meeting rooms to support classes and collaborative academic projects. Bren students are responsible for scheduling their own rooms for academic meetings, including Master's Project and Capstone Project meetings, PhD

defenses, and other academic purposes. When Bren Hall is open, Bren students may reserve the Visitors Center (BH 1410), Bonsai (BH 4327), Manzanita (BH 4329), Oak (BH 1520), Sycamore (BH 1510), Maple (BH 3016), and Pine (BH 3526) rooms via Robin Powered scheduling system. Self-booked meeting rooms can be reserved for a maximum of two hours and up to 14 days in advance.

To schedule meetings for academic purposes in other rooms, meetings in excess of two hours, recurring meetings, or meetings beyond 14 days, please complete and submit a Bren Hall Room Request Form. For weekly Master's Project group meetings each quarter, please self-book the first one or two iterations, then send your request to extend that reservation for the rest of the quarter. Please note the Dean's Conference Room is reserved for development purposes, administrative meetings, and occasional classes; it is not available for student meetings, except in rare cases. Classrooms and meeting rooms in Bren Hall include:

1st floor:

- Colloquium (BH 1414)
- Seminar (BH 1424)
- Sycamore (BH 1510)
- Oak (BH 1520)
- Visitors Center (BH 1410)

2nd floor:

• Dean's Conference (BH 2436)

3rd floor:

- Maple (BH 3016, lab wing)
- Pine (BH 3526)

4th floor

- Bonsai (BH 4327, lab wing)
- Manzanita (BH 4329, lab wing)

Comprehensive details about amenities and seating are available on the Bren <u>website</u>. Classrooms and meeting rooms are generally locked around 5:00 pm by janitorial or Bren staff. If a room is locked during regular business hours and access is needed, please come to the Dean's Suite for assistance or send an email to <u>scheduling@bren.ucsb.edu</u>. Please leave rooms clean and tidy and <u>turn off the data projector</u> and lights when finished.

How to Self-Book Meeting Rooms

- 1. Visit booking.bren.ucsb.edu for the Robin Powered system.
- 2. Click on "Schedule" in the upper left of the screen.
- 3. Select the date you want to meet (up to 14 days in advance).

- 4. Based upon which rooms are available, select the room and time you want by clicking in the corresponding area of the chart.
- 5. A box will open for you to enter the meeting details.
- 6. Type a title for your meeting (be sure to include your last name and the word Bren).
- 7. Select the end time (two hours maximum).
- 8. If you choose to invite guests, select them by typing their names or email addresses in the "Invite Guests" field.
- 9. Click "Book Now".
- 10. Your meeting will appear on the chart. You can edit or cancel the meeting by clicking on the booking event and then clicking the three dots in the upper right corner.
- 11. If you want to see all the rooms you have reserved, click on "Upcoming." You can edit and cancel reservations from here.

With the exception of interviews, students are not allowed to book rooms for personal use, including TA office hours, TA review sessions, and course teaching. If a room is needed for office hours, please contact the hiring department. If the hiring department is the Bren School, please contact scheduling@bren.ucsb.edu.

General Etiquette for Bren Hall Rooms

Students are expected to respect the people and property throughout Bren Hall and conduct their activities in a professional manner and with a high degree of integrity.

Be courteous. Two rooms (Bren Hall 1011 and 3312) are intended to be quiet study rooms. Other common computing rooms (Bren Hall 3022 and 3330) may be used for individual study and small group meetings and collaborations. The noise level in Bren Hall common rooms should be kept reasonable so students working or studying can concentrate. If the noise becomes an issue and a student-initiated solution is not found, please communicate concerns to the Dean's Advisory Council (DAC) representatives.

Students are responsible for keeping the common spaces clean. Food and drinks in spill-proof containers are allowed in Bren Hall rooms as long as the waste is disposed of properly and nothing is spilled on the furniture and computers. The Bren School administration reserves the right to ban food and drinks from any rooms if the room is not kept clean or computers are damaged. Please do not tape anything to the walls because tape degrades their surfaces.

Non-Bren Use of Bren Rooms

Bren Hall rooms are for use by Bren students, staff, faculty, and other Bren affiliates for academic purposes. In the past, non-Bren people reserved Bren Hall rooms through the Robin Powered scheduling system or simply occupied rooms. In the event you reserved a room and

find it occupied at your reserved time, please ask the occupants depart. If they do not comply, please seek the assistance of a Bren School staff member.

Pets and Emotional Support Animals

Pets and emotional support animals are not permitted in Bren Hall. A student with a pet or emotional support animal in Bren Hall will be asked to take the animal out of the building. The UCSB policy on Pets on Campus is posted to policy.ucsb.edu.

Davidson Student Commons (BH 3330)

UCSB graduate Jim Davidson (1990) made a generous gift to the Bren School to furnish Bren Hall 3330 with tables, chairs, cabinets, and a couch. Thus this common room bears Davidson's name. Computers in the Davidson Commons are available for use by Bren graduate students. The Commons may be used to study or meet in groups when necessary.

Student Computing Facility (BH 3022)

The Student Computing Facility or SCF (BH 3022) is a computer lab for Bren students with individual computing stations and group collaborative spaces. The SCF is unlocked during business hours and is available for Bren students after business hours via an Access Card. Please send an email to compute@bren.ucsb.edu regarding problems with the computing hardware or software.

Reading Room (BH 3312)

The Reading Room (BH 3312) is a quiet space, reserved for individual study only. Students are not allowed to engage in conversations, hold meetings or study sessions, or store personal items in this room.

There are many relevant books available for student use in the Reading Room. The Academic Programs staff places two copies of each required textbook or reader for core courses in the Reading Room. There also may be copies of books for elective courses though, unfortunately, Bren cannot guarantee the availability of texts for every elective course. Students are welcome to use these materials, but they may <u>not</u> be removed from the Reading Room. Please return all materials to the proper shelf when done.

If you would like to donate books, please contact the Academic Programs staff at academics@bren.ucsb.edu.

Quiet Room (BH 1011)

The Quiet Room (BH 1011) is a quiet space, reserved for individual study only. Students are not allowed to engage in conversations, hold meetings or study sessions, or store personal items in this room.

Kitchen/Patio (BH 3328)

Bren students may use the kitchen and patio located on the 3rd floor of the lab wing. The patio is a common space that can be used for lunch, study sessions, etc. Surfboards and wetsuits are not allowed on the patio. Students should store these items in the surfboard and wetsuit storage area behind Bren Hall.

Students are responsible for keeping the kitchen and patio clean and free of trash, dirty dishes, old food, clothes, etc. and cleaning up after themselves, including spills in the microwave, refrigerator, or countertop. Please recycle cans, bottles, plastics, office paper, newspapers, and cardboard in the containers provided. The refrigerator is available for use on a daily basis but is not intended for long-term food storage. Food should be labeled by owner and/or date. There is a variety of cleaning supplies located under the sink in the kitchen.

If a recurring problem precludes the cleanliness of student areas, please contact the building staff at bren.ucsb.edu and the Dean's Advisory Council (DAC) student representatives.

Student Lockers

The Bren School owns and maintains 120 lockers in the Bren Kitchen (BH 3328) for use by currently-enrolled master's students. There are also 24 new large sharable lockers available in the Davidson Student Commons (BH 3330). The Assistant to the Deans will distribute a request for applications for lockers at the beginning of each academic year. To apply for a locker, please complete and sign a locker request form and submit it by the date/time specified. Requests received after the deadline may not be accommodated due to limited space.

Deckers Deck

Many Bren events are held on Deckers Deck on the second floor of Bren Hall overlooking the ocean. Students are welcome to use Deckers Deck unless a private event is in progress as indicated by signs at both entrances to the deck or an announcement in This Week at Bren (TWAB). Please keep noise levels low on the deck to minimize disruption to ongoing work conducted by staff, faculty, and partners in the Dean's Conference Room and offices adjacent to the deck. Appropriate attire (shirts, shoes, shorts, etc.) must be worn at all times on the Deck and throughout Bren Hall.

<u>Smoking</u>

The entire University of California system is smoke-free and tobacco-free and has been since 2014. Smoking, the use of smokeless tobacco products, e-cigarettes, marijuana, and unregulated products is not allowed on any UC-owned or leased properties.

Alcohol Sale and Service

The Bren School strictly adheres to <u>UCSB regulations</u> related to the sale and service of alcohol. Alcohol may <u>not</u> be served in Bren Hall except by staff at Bren-sponsored events that have a purpose beyond the consumption of alcohol. It is not appropriate for students to bring alcohol

to the University and serve and consume it, for example, to celebrate the end of a challenging class. All service of alcohol must be approved by the Bren School administration.

Bicycles and Bike Racks

Use of bicycles as a mode of transportation to and from school is encouraged. However, bikes cannot be stored in Bren Hall; they must be locked in designated bike rack areas. If bikes are locked around or in Bren Hall, they may receive a ticket from the University Police and/or be confiscated. Bringing bikes indoors degrades the carpeting and flooring. If students are concerned about bicycle security, Transportation & Parking Services provides bike locker rentals by lottery for students, faculty, and staff who commute by bicycle. More information can be found at Commute By Bicycle | Transportation & Parking Services.

Surfboard and Wetsuit Storage Area

The outdoor surfboard and wetsuit storage area was the class gift from the MESM Class of 2011. This area, located between Bren Hall and Parking Lot 1, has limited space for students, staff, and faculty to store their surfboards and wetsuits, and also a shower to rinse off sand and saltwater. Surfboard and wetsuit storage is not allowed in Bren Hall as the building and shower have been degraded by salt water and sand on the floors and in the elevators. Please rinse well before entering Bren Hall and keep surfing gear in the storage area. The storage facility remains locked and can be accessed with a key kept in a lockbox by the door. Space in the locker is determined by a lottery. The Bren Hall Building Manager sends information about the lottery periodically.

Shower

A shower for students, faculty, and staff is available on the 3rd floor of the Bren Hall lab wing across from the restrooms. The shower is for a quick rinse after exercising, not for daily personal hygienic use or any other purposes. The custodial staff is responsible for cleaning the shower. Be sure to collect personal items after showering; personal items left in the shower area will be discarded. Please do not track any sand into the shower; it clogs the pipes and will quickly ruin the shower. If sand is tracked into the shower, facilities will close the shower to further use. To remove sand after surfing, running, or other beach recreation, please rinse off thoroughly with the outdoor shower provided in the surfboard storage area.

Building Maintenance

All issues related to the building (lights, leaks, heating/cooling malfunctions, problems with locks, etc.) should be reported immediately to the Building Manager at brenhall@bren.ucsb.edu. Please do not assume that someone else has reported a problem. It is better for the Building Manager to receive too many reports about the same incident than to not get any.

Lost and Found

Lost and Found is managed by the Assistant to the Dean's In the Dean's Suite (BH 2400A).

Recycling

The Bren School has a strong commitment to maintaining an effective recycling program which requires that we recycle accurately.

| High Grade paper Printer paper White envelopes Manila folders Bound books w/ high grade paper Compostable Paper Office Pack - White GIS | What it is | Which bin does it go in | Where is that bin? |
|--|--|-------------------------|---|
| Nexus, Independent, etc Glossy Paper Magazines Catalogs Pasteboard Cereal Boxes Prozen Food Boxes Notepad Backs Corrugated Cardboard Shipping Boxes Pizza Boxes (only if very clean) Any Plastic Tupperware Utensils Packaging Any Metal Food Glass Electronics, CFLs (Compact Fluorescent Lights) Batteries CD's, DVD's, Diskettes Cell Phones Cell Phones Cell Phones Compostable Waste Paper Towels, Tissues Pizza Boxes – Soiled Recycling - Blue GIS 31. Kitchen Staff Kitchen Courtyard – Bertha Elevator Entrance 1 – 4 Office librarySide Reading Room Quiet Room Quiet Room Classrooms (Seminar, Sycamore) Conference Rooms (Pine, Bonsai, Manzanita, Dean's Conference Room) Techno Trash Davidson Commons Davidson Commons Davidson Commons Cell Phone Bin Davidson Commons Cell Phone Bin Davidson Commons Staff Kitchen Staff Kitchen SCF* GIS* Courtyard - Bertha Elevator Entrance 1 – 4 Office Side Bren Hall 1011 (Downstairs GP Room) Compostable Paper Towels Compost All Restroom Receptacles | Printer paper White envelopes Manila folders | | GIS 3L Kitchen Davidson Commons Mail/Print Rooms Courtyard Bertha outside 1414 |
| Lights) Batteries CD's, DVD's, Diskettes Cell Phones Cell Phone Bin Compostable Waste Food Scraps including Meat UCSB Food Service Ware (e.g, UCEN) Bren Event Food Service Ware Paper Towels, Tissues Pizza Boxes – Soiled Compost Techno Trash Davidson Commons Cell Phone Bin Davidson Commons Compost SL Kitchen Staff Kitchen SCF* GIS* Courtyard - Bertha Elevator Entrance 1 – 4 Office Side Bren Hall 1011 (Downstairs GP Room) Compostable Paper Towels Compost All Restroom Receptacles | Nexus, Independent, etc Glossy Paper Magazines Catalogs Pasteboard Cereal Boxes Frozen Food Boxes Notepad Backs Corrugated Cardboard Shipping Boxes Pizza Boxes (only if very clean) Any Plastic Bottles Tupperware Utensils Packaging Any Metal | | GIS 3L Kitchen Staff Kitchen Mail/Print Rooms Admin Reception Courtyard – Bertha Elevator Entrance 1 – 4 Office librarySide Reading Room Quiet Room Classrooms (Seminar, Sycamore) Conference Rooms (Pine, Bonsai, Manzanita, Dean's Conference |
| CD's, DVD's, Diskettes Cell Phones Cell Phone Bin Compostable Waste Food Scraps including Meat UCSB Food Service Ware (e.g, UCEN) Bren Event Food Service Ware Paper Towels, Tissues Pizza Boxes – Soiled Compost Techno Trash Davidson Commons SL Kitchen Staff Kitchen SCF* GIS* Courtyard - Bertha Elevator Entrance 1 – 4 Office Side Bren Hall 1011 (Downstairs GP Room) Compostable Paper Towels Compost All Restroom Receptacles | , , , | Techno Trash | Davidson Commons |
| Cell Phones Compostable Waste Food Scraps including Meat UCSB Food Service Ware (e.g, UCEN) Bren Event Food Service Ware Paper Towels, Tissues Pizza Boxes – Soiled Cell Phone Bin Davidson Commons 3L Kitchen Staff Kitchen SCF* GIS* Courtyard - Bertha Elevator Entrance 1 – 4 Office Side Bren Hall 1011 (Downstairs GP Room) Compostable Paper Towels Compost All Restroom Receptacles | Batteries | Techno Trash | Davidson Commons |
| Compostable Waste Food Scraps including Meat UCSB Food Service Ware (e.g, UCEN) Bren Event Food Service Ware Paper Towels, Tissues Pizza Boxes – Soiled Compostable Paper Towels Compostable Paper Towels Compostable Paper Towels Compostable Paper Towels Compost Staff Kitchen SCF* GIS* Courtyard - Bertha Elevator Entrance 1 – 4 Office Side Bren Hall 1011 (Downstairs GP Room) All Restroom Receptacles | | Techno Trash | Davidson Commons |
| Food Scraps including Meat UCSB Food Service Ware (e.g, UCEN) Bren Event Food Service Ware Paper Towels, Tissues Pizza Boxes – Soiled Compostable Paper Towels Staff Kitchen SCF* GIS* Courtyard - Bertha Elevator Entrance 1 – 4 Office Side Bren Hall 1011 (Downstairs GP Room) Compostable Paper Towels All Restroom Receptacles | Cell Phones | Cell Phone Bin | Davidson Commons |
| Compostable Paper Towels Compost All Restroom Receptacles | Food Scraps including Meat UCSB Food Service Ware (e.g, UCEN) Bren Event Food Service Ware Paper Towels, Tissues | Compost | Staff Kitchen SCF* GIS* Courtyard - Bertha Elevator Entrance 1 – 4 Office Side Bren Hall 1011 (Downstairs GP |
| | Compostable Paper Towels | Compost | |
| | · | i · | |

| Plastic Film - Clean | Film Bucket | 1021 - Bren Shop | |
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^{*}Temporarily removed

SECTION II: MEDS PROGRAM GUIDELINES

The Master of Environmental Data Science (MEDS) program is an 11-month professional degree program designed for individuals who plan to apply data science skills to environmental work in the public, private, or non-profit sectors. The program teaches applied data science skills through a series of core courses and a two-quarter Capstone Project.

REQUIRED UNITS

MEDS students must complete a total of 54 units. The units include core courses (46 units) and the Capstone Project (8 units). Students must complete all 54 units to complete their MEDS degree.

Required Course Load:

• Summer: 12 units

• Fall: 14 units

• Winter: 14 units

• Spring: 14 units

Any additional courses MEDS students choose to take are regarded as electives and are not required for the MEDS degree. Any units taken above and beyond the 54 units required to earn a MEDS degree do not have to be taken for a letter grade.

CORE COURSES

The complete MEDS core curriculum is:

Summer Quarter

- EDS 212 Essential Math for Environmental Data Science (2 units)
- EDS 214 Analytical Workflows and Scientific Reproducibility (2 units)
- EDS 217 Python for Environmental Data Science (4 units)
- EDS 221 Scientific Programming Essentials (4 units)

Fall Quarter

- EDS 220 Working with Environmental Data (4 units)
- EDS 222 Statistics for Environmental Data Science (4 units)
- EDS 223 Geospatial Analysis and Remote Sensing (4 units)
- EDS 242 Ethics & Bias in Environmental Data Science (2 units)

Winter Quarter

- EDS 232 Machine Learning in Environmental Science (4 units)
- EDS 240 Data Visualization and Communication (4 units)
- EDS 241 Environmental Policy Evaluation (2 units)
- EDS 411A Capstone Project (4 units)

Spring Quarter

- EDS 213 Databases and Data Management (4 units)
- EDS 230 Modeling Environmental Systems (4 units)
- EDS 231 Text and Sentiment Analysis for Environmental Problems (2 units)
- EDS 411B Capstone Project (4 units)

MEDS students must maintain a minimum cumulative GPA of 3.0 or above in a given quarter. This is a Graduate Division requirement; there are no exceptions to this rule. Students who do not maintain a cumulative GPA of 3.0 will be placed on academic monitoring and will need to complete an academic progress plan to raise their GPA to the minimum standard.

Waiving a Core Course

Due to the highly curated set of MEDS courses, MEDS students are strongly discouraged from waiving any courses. However, if a student already has a substantial portion of the knowledge and skills that the core course provides, then they may discuss with the instructor the possibility of submitting an exception to waive a core course. First, the student should review the course syllabus on the website to confirm that they possess a substantial portion of the knowledge and skills provided by the course. If the student believes that a waiver is justified, then they must contact the course instructor to describe their background. The professor will evaluate the student's knowledge and determine if the student is eligible to complete an examination to waive the course. If so, the student must arrange with the course instructor a date and time to complete the evaluation or examination. Different instructors use different methods for evaluation; the instructor will explain their method. Following the evaluation or examination, the instructor will determine whether or not the student is qualified to waive the core course. If approved, then the student must complete a Brent School Petition.

Ideally, the evaluation and waiver process should be completed at least two weeks before the start of the quarter in which the course is offered. If the student has not received approval to waive a course, they should enroll in the course and then drop the course later if the waiver is approved. If the waiver is approved, the student does not need to take the core course but must take another course(s) with the same number of units to meet the 54-unit minimum requirement for the MEDS degree. The replacement course is subject to approval by the Master's Curriculum Committee. A waived core course counts 0 units toward the 54-unit

minimum. If a waiver is not approved, the student will be required to take the course.

CAPSTONE PROJECTS

One of the most important components of the MEDS program is the Capstone Project, which serves as the master's thesis. MEDS students will have the opportunity to gain real-world experience and apply their data science skills through their Capstone Project. Students will work together in groups of 3-4 students to solve a problem for a client, either internal (UCSB) or external (industry, government, NGOs). In order to graduate, students are required to complete their Capstone Project and the associated courses (EDS 411A and EDS 411B), while maintaining a cumulative GPA of 3.0.

In Summer Quarter, the Capstone Project Coordinator will release the MEDS Request for Proposals. Information on how to submit a proposal can be found on the Bren School website at Request for Proposals. Proposals may be submitted by any prospective client, including faculty and other researchers, businesses, government agencies, and NGOs. Students are encouraged to collaborate with prospective clients to co-author Capstone Project proposals. Proposals should clearly define an environmental problem with a data-driven solution and have a feasible scope of work.

In Fall Quarter, the proposal submission period ends, and a selection committee, composed of faculty, students, and staff, reviews and selects appropriate proposals. The selected proposals are assigned to students and faculty advisors. Students meet with their clients and begin working on Capstone Projects in Winter Quarter and complete projects by the end of Spring Quarter.

Capstone Project selection is a competitive process (like the real world), whereby a committee of faculty and students select the projects that best fit the Bren School criteria and student interests. If a student (or any prospective client) prepares and submits a proposal, there is a chance that the proposed project may not be selected. Questions regarding Capstone Projects may be submitted to projects@bren.ucsb.edu.

STUDENT ADVISING & SUPPORT

Bren Student Affairs staff serve as the primary point of contact for all MEDS students. Students may contact the <u>Student Affairs team</u> directly at <u>academics@bren.ucsb.edu</u> for advice, information, assistance on any school-related matters, or just to talk. The Student Affairs staff will assist or refer students to other individuals or resources as appropriate.

MEDS students are advised by Capstone Project faculty advisors and other Bren faculty and staff as needed. MEDS students are expected to actively manage their education by being proactive in developing relationships with faculty and staff and seeking academic and career development advice.

Graduate (Grad) Check Form

The Grad Check Form is required by the Graduate Division in order to confirm that students have successfully completed their approved academic program and are eligible for a MEDS degree. The Student Affairs staff will generate a Grad Check Form for every graduating student after Spring Quarter grades are submitted in their final year. It is the students' responsibility to make sure they do not have any outstanding grades and notify the Student Affairs staff of any issues. Student Affairs staff will review the Grad Check Forms and the Graduate Advisor (Assistant Dean) will approve and sign the forms. The Graduate Division will review and confirm that students have met the MEDS degree requirements and will issue the MEDS degree (typically 3-6 months after the end of the academic year).

MEDS Time to Degree

MEDS students typically graduate after 11 months of study. Any student who has personal, family, or work situations that would make it difficult to complete the MEDS degree should contact the Student Affairs staff (academics@bren.ucsb.edu) to develop a plan of study that will fulfill the requirements of the program.

<u>Transfer/Continuation to the PhD Program</u>

Occasionally, MEDS students decide to pursue a PhD degree at Bren upon completion of the MEDS degree. The PhD program is not an extension of the MEDS program. The MEDS program provides a professionally-focused set of coursework coupled with educational experience. The PhD is a research degree and requires a strong interest in and the ability to conduct independent research and provide an original contribution of knowledge. The PhD program at the Bren School is a mentoring program; each PhD student works closely with at least two to three Bren School professors with expertise in the student's area of interest.

If a MEDS student is interested in the Bren School's PhD program, they must submit a <u>UCSB</u> <u>Graduate Division application</u> to be considered for the PhD program, and a Bren faculty sponsor must support the student's application to the PhD program and agree to be the student's faculty advisor if the student is admitted. The first step in considering the PhD program is for the student to reach out to prospective faculty advisors to discuss shared research interests and possibilities.

If a MEDS student is interested in attending a PhD program in another UCSB department, they must contact that department's Graduate Program Assistant for the guidelines on their admissions/transfer process.

SECTION III: MESM PROGRAM GUIDELINES

The Master of Environmental Science and Management (MESM) program is a two-year professional degree program designed for individuals who plan to work in interdisciplinary science and management or policymaking in the private, public, or non-profit sectors. The

program focuses on application and problem solving and has three parts: core courses, specialization electives, and the Group Project or Eco-Entrepreneurship (Eco-E) Project.

REQUIRED UNITS

Each student in the MESM program is required to complete a minimum of 80 units for the MESM degree. The required units include core courses (30 units), Group Project or Eco-E Project courses (14 units), and an approved set of elective courses (minimum of 36 units).

MESM students may take up to 8 units of their 36 required elective units as S/U (graduate courses) or P/NP (undergraduate courses) rather than for a grade if they choose. It is not recommended that students take primary courses for their specializations as S/U. Any units taken above and beyond the 80 units required to earn a MESM degree do not have to be taken for a letter grade.

A normal course load for MESM students is 16-18 units per quarter. MESM students are strongly discouraged from taking more than 20 units. MESM students should try to limit their unit load in Winter Quarter when they will be actively engaged in internship exploration in their first year and completing Master's Projects and their job search in the second year.

CORE COURSES

MESM students are required to take eight core courses. Typically, MESM students take all core courses in their first year. MESM core courses are:

- ESM 201: Ecology of Managed Ecosystems (4 units)
- ESM 202: Environmental Biogeochemistry (4 units)
- ESM 203: Earth System Science (4 units)
- ESM 204: Economics of Environmental Management (4 units)
- ESM 206: Statistics & Data Analysis for Environmental Science & Management (4 units)
- ESM 207: Environmental Law and Policy (4 units)
- ESM 210: Business and the Environment (4 units)
- ESM 241: Environmental Politics and Policy (2 units)

MESM students must earn a grade of C or better in all core courses (above) and project courses (ESM 401 and ESM 402 series). There are no exceptions to this rule. No courses may be used as substitutes for these core requirements. If students earn less than a C in a core or project course, they will have to retake the course and improve their grade in order to be eligible for graduation.

Deferring a Core Course

If a MESM student has a unique situation resulting in a larger-than-normal workload, they may want to discuss with the specialization advisor or course instructor the possibility of deferring a core course. Students must complete a deferment exception through the course scheduling tool, MyPlan. An explanation of how to use MyPlan is included later in this section on MESM Program Guidelines. In the exception, the student must explain the reasons for deferring the core course and confirm their commitment to earning a C or better in the course. The student also should explain any background they may have in the topic that could indicate future success in the course and/or demonstrate a minimal risk of deferral. The student must submit the completed exception through the MyPlan portal. The Student Affairs staff will review the exception and arrange for a review by the Assistant Dean and course instructor. If approved, the student may defer the core course to the 2nd year. If students do not earn a C in the deferred core course, then the core course must be taken again in the 3rd year of study.

Some core courses are prerequisites for electives. Students should check the prerequisite requirements for each course on the <u>website</u> to confirm the prerequisite requirements and ensure a deferral will not create enrollment challenges due to missing prerequisites.

The following courses may not be deferred (except under unusual circumstances): ESM 206 Statistics and Data Analysis and ESM 401A Master's Group Project or ESM 402A Eco-E Project. Students who begin the program on a three-year plan should complete the Master's Project beginning in the spring of their second year and finish in the spring of their third year.

Waiving a Core Course

If a student already has a substantial portion of the knowledge and skills that the core course provides, then they may discuss with the instructor the possibility of submitting an exception to waive a core course. First, the student should review the course syllabus on the website to confirm that they possess a substantial portion of the knowledge and skills provided by the course. If the student believes that a waiver is justified, then they must complete a waiver exception through MyPlan and complete a Bren School Petition. On the petition, the student must list related courses taken and grades earned in those courses, attach the syllabi, and describe related work experience or other knowledge of the field. The student must submit the completed exception and supporting materials to the Student Affairs staff who will arrange for a review of the petition by the Assistant Dean and course instructor.

The Student Affairs staff will notify the student whether or not they may proceed with an evaluation or examination to confirm their knowledge and skills. If so, the student must arrange with the course instructor a date and time to complete the evaluation or examination. Different instructors use different methods for evaluation; the instructor will explain their method. Following the evaluation or examination, the instructor will determine whether or not the student is qualified to waive the core course.

Ideally, the exception and evaluation process should be completed at least two weeks before the start of the guarter in which the course is offered. If the student has not received approval

to waive a course, they should enroll in the course and then drop the course later if the exception is approved. If the exception is approved, the student does not need to take the core course but must take another elective to meet the 80-unit minimum requirement for the MESM degree. A waived core course carries 0 units toward the 80-unit minimum. If a waiver is not approved, the student will be required to take the course.

All waivers for core courses must be approved during the 1^{st} year of the MESM program. An exception for a waiver will not be considered after the end of the 1^{st} year.

SPECIALIZATIONS

MESM students must complete one of seven specializations to develop a depth of knowledge:

- Business and Sustainability (BAS)
- Coastal Resources Management (CRM)
- Conservation Planning (CP)
- Energy and Climate (EC)
- Environmental Policy (EP)
- Pollution Prevention and Remediation (PPR)
- Water Resources Management (WRM)

Specializations are not formal degree programs approved by the Graduate Council; they are areas of study shaped by Bren School faculty. MESM students need to declare a specialization and complete a schedule of courses in MyPlan (see below) by the end of Winter Quarter of the 1st year. The specialization will not be identified on a student's diploma. The diploma will confirm the student's completion of the Master of Environmental Science and Management (MESM) degree.

Each area of specialization has a faculty advisor or co-advisors listed on the Bren School website. Students may consult their specialization advisor regarding electives in their specialization.

On the Bren <u>website</u>, there is a description for each specialization, recommended curriculum, educational objectives, and career opportunities. During Orientation, the Student Affairs staff and specialization advisors lead information sessions about specializations, which offer incoming students the opportunity to learn more about each specialization and ask questions.

Each student is required to take at least 36 units of electives to complete one specialization. Typically, specializations require a small set of primary electives that all students in that specialization will take, along with other relevant courses from prescribed "buckets," e.g., technical skills, management, additional science, etc. Each specialization offers flexibility and students should work with the specialization advisor to create a plan that best fits their

interests. Typically, MESM students begin taking specialization electives in the Spring Quarter of their 1^{st} year and finish at the end of their 2^{nd} year.

First-year MESM students should declare a tentative specialization by the end of the 2nd week of Winter Quarter to guide the selection of Master's Group Projects and help to ensure appropriate representation of topics. Students must formally choose a primary specialization (required for graduation with the MESM degree) and submit a plan in MyPlan by the end of Winter Quarter of the 1st year. Student Affairs staff will lead advising meetings in Winter Quarter to help students confirm specializations and complete plans in MyPlan.

Some students may be interested in pursuing two specializations. If a student intends to pursue two specializations, then they will need to complete 36 units of electives within each specialization in addition to the core courses and Master's Project. This does not necessarily mean that the student will take 72 units of specialization electives. A student must take all key specialization elective courses (12 units) to complete a second specialization. Electives that fulfill requirements for "buckets," e.g., technical courses, etc., may be counted toward two specializations. If a student plans to pursue a secondary specialization, then they must indicate that through MyPlan. The student also will need to attend the biannual specialization meetings for the primary and secondary specialization. Some students have experienced difficulty completing multiple specializations due to courses being offered at overlapping times; as previously noted, this is often unavoidable in order for the school to offer a variety of courses to meet student interests and needs. Students may take elective courses regardless of whether they apply to specialization requirements.

FOCUS AREAS

The Bren School offers supplemental training in Strategic Environmental Communication and Media (Communication focus) and Environmental Innovation and Entrepreneurship (Innovation focus). Any Bren graduate student may supplement their plan of study with courses in a particular focus. The focus does not substitute for the core, specialization, or Master's Project. Instead, the focus is complementary and supplementary to the required coursework and provides students with the opportunity to learn important professional skills.

Strategic Environmental Communication & Media Focus

Any one of the seven specializations can be enhanced by adding a focus on Strategic Environmental Communication and Media (Communication), a joint initiative started in 2013 by the Bren School and Carsey-Wolf Center. Students in the Communication focus pursue additional coursework to learn how to communicate effectively and strategically about environmental issues.

Some of the required classes for the Communication focus may serve as acceptable electives for a student's chosen specialization if they contribute to the goals and objectives of the specialization and build depth of knowledge and relevant skills. Students are only allowed to

count 4 units from 400-level courses (not including Group/Eco-E Project units) toward the MESM degree requirements. Students who intend to pursue the Communication focus must include it in their <u>MyPlan</u>).

To complete the Communication focus, students must take at least 8 units of the following courses.

- ESM 269 Survey Design and Environmental Public Opinion (2 units)
- ESM 295A Equity and the Environment (2 units)
- ESM 295B Advanced Topics in Environmental Justice (2 units)
- ESM 430 Workshop in Environmental Science and Management (Environmental Negotiation) (1 unit)
- ESM 437 Writing Skills for Environmental Professionals (3 units)
- ESM 440A Strategic Environmental Communication (2 units)
- ESM 440B Environmental Storytelling (2 units)
- ESM 441 Environmental Media Production (3 units)
- ESM 442 Communication for Civic Engagement and Grassroots Organizing (2 units)
- ESM 449 Environmental Communication Practicum (Capstone) (4 units)
- EDS 240 Data Visualization and Communication (4 units) *
- FAMST 118 Green Screen (4 units) *
- TMP 244 Entrepreneurial Marketing (3 units) *

Environmental Innovation and Entrepreneurship (Innovation) Focus

Any one of the seven specializations can be enhanced by adding a focus in Environmental Innovation and Entrepreneurship (Innovation), a joint initiative started in 2007 by the Bren School and <u>Technology Management Program</u> (TMP) of the College of Engineering. Students in the Innovation focus pursue additional coursework and activities to acquire the skills and mindset needed to drive impact-oriented innovation within an existing organization or to launch a new entrepreneurial venture. To add an Innovation focus, students must take the required classes and participate in the required activities described on the Innovation focus <u>information webpage</u>.

Some of the required classes for the Innovation focus may serve as acceptable electives for a student's chosen specialization if they contribute to the goals and objectives of the specialization and build depth of knowledge and relevant skills. Electives for the Corporate Environmental Management (CEM) specialization have substantial overlap with courses for the Innovation focus. Some Innovation electives also may be relevant to other specializations. Students who intend to pursue the Innovation focus must include it in their MyPlan.

^{*} Need instructor permission to enroll

To complete the Innovation focus, students must take at least 10 units of the following courses.

- ESM 228 Monitoring and Evaluation (4 units)
- ESM 230 Strategic Planning for Social Impact (4 units)
- ESM 231 Creating Value through Environmental Solutions (2 units)
- ESM 233 Testing Innovation Opportunities and Organizational Readiness (2 units)
- ESM 256B Value Proposition Design for New Environmental Ventures (2 units)
- ESM 269 Survey Design and Environmental Public Opinion (2 units)
- ESM 279 Financial Management and Environmental Accounting (4 units)
- ESM 281 Business and Sustainability (4 units)
- ESM 284 Sustainable Product Development (4 units)
- ESM 402A Business Model Development for New Environmental Ventures (4 units)
- ESM 430 Workshop in Environmental Science and Management (Environmental Negotiation) (1 unit)
- TMP 240 Business Strategy and Leadership (4 units) *
- TMP 241 Managing Innovation (4 units) *
- TMP 242 Entrepreneurship (4 units) *
- TMP 244 Entrepreneurial Marketing (3 units) *
- TMP 246 New Venture Finance (3 units) *
- TMP 255 New Product Development (4 units) *
- TMP 269 Creating a Market-Tested Business Model (4 units) *
- TMP 283 Ethnographic Research Methods (4 units) *

Graduate Program in Management Practice Certificate

Several TMP courses are part of the curriculum for the <u>Graduate Program in Management Practice</u> (GPMP), a UC-recognized certificate offered by the TMP. Students at the Bren School may take additional classes to earn the GPMP certificate. The GPMP requires 18 additional units of coursework and also requires that students practice the concepts they have learned by participating in either an internship (160 hours in a management role) or the New Venture

^{*} Subject to change

Competition. To earn the certificate, students also must fill out the GPMP Enrollment form as well as a Change of Degree Status Petition to officially add GPMP as an additional degree objective. Students will need to obtain the required signatures, including the Bren Graduate Advisor (Assistant Dean) and the GPMP Certificate Program Advisor. To add the GPMP Certificate as a degree objective, students must submit the Change of Degree Status Petition at least one quarter before graduation. Please note that courses used to satisfy the requirements of the GPMP Certificate cannot be applied toward MESM or MEDS degree requirements.

MASTER'S PROJECTS

One of the most important components of the MESM program is the Master's Project, which serves as the master's thesis. All MESM students are required to complete either a Group Project, and the associated courses ESM 401A, B, C, and D, or an Eco-E Project, and the associated courses ESM 402A, B, C, and D, and pass each course with a grade of C or better. There are no exceptions to this requirement.

Group Projects

Students in Group Projects work together in teams of four to five students to solve a real environmental problem for a client.

Primary contacts for matters related to Group Projects are the Director of Academic Programs and the faculty chair of the Group Project Committee. For inquiries about Group Projects, please contact projects@bren.ucsb.edu.

The Group Project Guidelines are on the Bren School website.

In Fall Quarter, the Director of Academic Programs will present a one-hour information session about Group Projects to 1st-year MESM students. The information session will be announced by email. The information session will focus on how to write a Group Project proposal and also provide an opportunity for students to ask questions about Group Projects.

In Fall Quarter, the Bren School solicits Group Project proposals. Proposals may be submitted by any person or organization. Group Project proposals must identify an environmental problem and propose to develop a solution based on scientific analysis. The solution should be applied in some capacity, for example, through recommendations, models, policy, legislation, business practices, etc.

For information on submitting a Group Project proposal, please see the <u>Group Project Request for Proposals</u>. To view examples of last year's successful proposals, please see our <u>Master's Project directory</u>. Proposals or questions about submitting a proposal should be directed to <u>projects@bren.ucsb.edu</u>.

The Bren School encourages MESM students to reach out to prospective clients and collaborate to write Group Project proposals. Students who co-author Group Project proposals will be able

to 1) select a particular firm, agency, or organization with whom they would like to work; 2) guide the specific topics or research questions; 3) gain experience in proposal writing, and 4) have greater control over the assigned Group Project if their proposal is selected. If a student co-authors a Group Project proposal that is selected for implementation, they are guaranteed to be assigned to that project (if requested). However, please note that if more than two students co-author a proposal for an accepted project, then only two of the co-authors (identified by the group or, if necessary, randomly by the Director of Academic Programs) are guaranteed assignments on that project.

Project selection is a competitive process (like the real world), whereby a committee of faculty and students selects the projects that best fit the Bren School criteria and student interests. If a student prepares and submits a proposal, there is a chance that the proposed project may not be selected. The Bren School typically receives at least twice as many proposals as projects pursued, so the odds of selection can be less than 50%.

The primary factors in proposal selection are the quality of the proposal, its alignment with Bren's educational objectives, and the value of the educational opportunity that it presents. While student-initiated proposals are valued, the Group Project Committee selects the strongest proposals regardless of the affiliation of the author(s).

In Fall Quarter, three 1st-year MESM students are elected by their peers to serve with faculty and staff on the Group Project Committee. The committee evaluates and selects proposals in February. Members of the Group Project Committee that have submitted proposals must recuse themselves from evaluation and voting on their proposed project. Student representatives on the committee do not have a greater likelihood of having their proposals selected.

Once the committee has completed the selection process, the students on the Group Project Committee deliver an overview presentation about the selected projects for their peers. The complete text of the proposals is made available to students at this time for review prior to voting.

Master's Project assignments (both Group Projects and Eco-E Projects) are made near the end of Winter Quarter. Students are assigned to projects by a computer-based optimization. Students must allocate 100 points across projects, with a maximum of 80 points for any one project and a minimum of four project options if they did not author a proposal. Up to two student co-authors may allocate all 100 points to the proposal they wrote. Students may allocate points to more than four projects. The optimization routine determines the optimal overall groupings based on students' revealed preferences determined by their point allocation. Groups are typically limited to five participants. Inevitably, not all students will be assigned to their first choice so it is important for students to identify several projects of interest. Most students get their first or second choice and, typically, only a few students get their third or fourth choice. Students should be prepared for this outcome.

Although projects on a specific subject may be of heightened interest, it is important to remember that the process of managing and completing a project is more important than the

actual topic. As professionals, students will have to work on a range of different projects, and, in most cases, will not get to choose the specific projects they undertake. Additionally, many factors determine the students' overall project experience: team interactions, faculty advisor engagement, client responsiveness, data availability, quality, etc. such that the topic becomes less significant over time. For additional information and a timeline of project deliverables, please refer to the <u>Group Project Guidelines</u>. Past group project proposals, final reports, executive summaries, and final presentations are posted on the Bren <u>website</u>.

Eco-E Projects

Students in Eco-E Projects work together in teams of three to five over the course of a year to develop a business model intended to bring a new, environmentally-oriented, commercially-viable product or service to market. The Eco-E Project prepares students for careers as solution-oriented, environmental entrepreneurs who can identify opportunities where the market demands overlap environmental solutions. Students who complete an Eco-E Project develop a business model, build a prototype concept and assess the environmental impact for a new environmental business venture. Eco-E Project goals are for students to 1) gain training and experience in developing a business model; 2) cultivate innovation and systems thinking to serve market needs and solve complex environmental problems; and 3) learn leadership skills and build effective teams.

The primary contact for Eco-E Projects is Emily Cotter, Innovation Program Manager, ecotter@bren.ucsb.edu.

The <u>Eco-E Project Guidelines</u> are on the Bren School website. MESM students who intend to submit a proposal for an Eco-E Project must enroll in ESM 256B Value Proposition Design for New Environmental Ventures (2 units) during the Winter Quarter of their first year. Students engaged in an Eco-E Project are encouraged to supplement their Eco-E Project coursework with coursework offered through the Innovation focus and/or Technology Management. Students who complete Eco-E Projects are required to participate in one new venture competition as part of their training. Participation in such competition provides students with valuable experience giving investment pitches and potential opportunities to receive funding for their ventures.

STUDENT ADVISING & SUPPORT

Bren Student Affairs staff serve as the primary point of contact for all MESM students. Students may contact the <u>Student Affairs team</u> at <u>academics@bren.ucsb.edu</u> for advice, information, assistance on any school-related matters, or just to talk. The Student Affairs staff will assist or refer students to other individuals or resources as appropriate.

MESM students are advised by their Group Project or Eco-E Project advisor, their specialization advisor, and any other Bren faculty and staff as appropriate. MESM students should actively

manage their education by being proactive in developing relationships with faculty and staff and seeking academic and career development advice.

<u>MyPlan</u>

Each MESM student designs an individualized study plan that is appropriate for their chosen specialization, given the student's particular background, interests, and goals. The MyPlan interface includes the core courses (30 units), Group Project or Eco-E Project (14 units), and electives for a primary specialization (minimum 36 units). MyPlan also includes a course workload planner for each quarter to help students plan a reasonable workload. MESM students should plan to take approximately 16-18 units per quarter. If a student would like to take more units, then they should discuss the proposed workload with their specialization advisor. Students must submit a plan through MyPlan by the last day of instruction for the Winter Quarter of the 1st year of study.

There are several training videos available to help students complete their MyPlan:

- <u>Training Video 1: Your plan intro</u> (3.5 minutes)
- Training Video 2: Exceptions to your schedule (5.5 minutes)
- <u>Training Video 3: Revising your plan</u> (4 minutes)
- Training Video 4: In-depth MyPlan Instructions (20 min)

The steps to complete MyPlan are as follows:

1. Select a specialization

The objective of a specialization is to build depth of knowledge in a particular area. Each specialization has 12 units of required "key" courses that all students in that specialization are required to take. Only one specialization is required to fulfill the requirements for the MESM degree.

2. Select Group Project or Eco-Entrepreneurship Project

If the student has not been assigned a project yet when the plan is completed, the expected type of project should be selected and it can be revised later if needed.

3. Select second specialization (optional)

Students who would like to pursue a second specialization must select the second specialization and complete the required "key" courses (12 units). MESM students may not declare three specializations unless they plan to extend their program over a period of three years. There is not sufficient time within two years to complete core courses, a Group Project or Eco-E Project, and more than two specializations.

4. Select focus (optional)

Foci are not a required part of the MESM degree, but a focus may be added to any specialization to supplement the curriculum. The focus is supplemental and does not substitute for core requirements, specialization electives, Group Project, or Eco-E Project. MESM students may add a focus in Environmental Innovation and Entrepreneurship (Innovation) or Strategic Environmental Communication and Media (Communication). The Innovation focus requires 10 units of coursework and the Communication focus requires 8 units of coursework. To add a focus, select the focus and complete the "key" focus courses and focus electives. For guidance regarding a focus, please contact the Innovation Program Manager or Communication Program Director.

5. Tag this version of your plan

You can insert some keywords into the Tag box to help you identify a particular plan. This is helpful if you plan to create several drafts of your plan and you want to be able to go back and reference them easily. It is also a good idea to SAVE your plan at this point. Any changes you make to your plan must be SAVED.

6. Select elective courses

Follow the "start here" arrow to select the courses you intend to take to fulfill your requirements. The first tab contains your key courses for your specialization. Subsequent tabs represent required or recommended "buckets" of general topic areas, e.g., technical skills, economics, policy and law, additional science, management, and advanced special topics. Requirements are described on each tab. Requirements in the "buckets" are in addition to key courses, i.e., you cannot double count a course toward both your key courses and a "bucket" area. Select the courses you plan to take during the year and quarter they are offered and then click the button labeled "process selected course adds" to add the courses to your schedule.

MyPlan offers a suite of courses confirmed with instructors up to two years in advance. Occasionally, the instructor's plans change and the curriculum plan must be updated. Students should anticipate some changes to the curriculum plan and should remain flexible about future course schedules. While class schedules are similar from year to year, the Bren School curriculum is always dynamic. Some courses that were offered in the past may no longer be available, while some new courses may be introduced.

Most specialization courses are taken during the second year of study and some require prerequisites. The Academic Programs <u>website</u> provides a prerequisite guide.

The specialization <u>website</u> provides the minimum number of units within each "bucket" required or recommended for a specialization. While some courses are strongly recommended for a particular specialization, MyPlan is flexible to accommodate the student's interests within the specialization area. Students must complete 36 units,

including 12 units of key courses, a minimum number of units required in each topic "bucket", and other electives that are relevant to the specialization.

7. Add any exceptions

Three types of exceptions may be added through MyPlan: waiver, deferment and replacement. To access these exceptions, there are three buttons on the left side under the schedule. You may use the "Add Waiver", "Add Deferment" or "Add Replacement" buttons to submit these requests to the Student Affairs staff. Any plans submitted with exceptions will be reviewed manually by the Student Affairs staff for approval.

8. Add courses from other UCSB departments

Your plan does not need not be limited to courses in the Bren School. Students are encouraged to take relevant courses from other UCSB departments. To add a course from another department, please use the "other UCSB course" button. You can choose if you want to count the course toward your requirements. Input the department abbreviation (e.g., EEMB), course number, units, and quarter you plan to take the course. If you have chosen to count the course, your specialization advisor will need to review and approve your request first. If you choose not to count the course, it will appear on your schedule, but will not count toward your requirements.

9. Check Plan and Check Detail

Once you have chosen your courses, check your schedule to ensure the course load is feasible each quarter. A warning in red text appears in any quarter you are below the minimum 8 units required to be a full-time graduate student or if you exceed 20 units (which is not recommended). When you are satisfied with your schedule, click the "Check Plan" button in the top section to confirm that specialization and focus requirements are met. If you do not meet a particular requirement, return to your plan and select "Check Detail" to see what courses are missing. Please keep in mind that the actual suite of courses available in any future quarter may require some adjustments to your original plan.

10. Participate in specialization advising meeting

The Student Affairs team organizes advising meetings for 1st-year MESM students for groups of specializations at the start of Fall quarter and for individual specializations in Winter Quarter. The specialization advisor and staff will present the specialization requirements and highlight elective courses that will be offered in the specialization. Participation in specialization advising meetings is required; if a student is not able to attend due to a conflict with a course or job, then the student must contact the Student Affairs staff (academics@bren.ucsb.edu) to schedule another time to receive the information.

11. Submit MyPlan by the end of Winter Quarter of Year 1

Once you have completed your MyPlan, choose the "Submit Plan" button in the top section. If all requirements are met, your plan will be automatically approved. If you have exceptions or the plan does not meet the requirements, the Student Affairs staff will review your plan and you will receive a message when additional information is available. Students must submit their MyPlan via a web-link by the last day of instruction during the Winter Quarter of the 1st year of study. Submitting your MyPlan effectively "declares" your specialization and focus.

12. Making changes in MyPlan

It is likely that students will need or want to make changes to their MyPlan. Students should include all courses they plan to count toward their degree in their plan. If a student would like to count a course that is not on their plan, they should update and submit their revised plan for review and approval. If a student follows the specialization guidelines and meets all requirements, then an automated degree check will be sufficient to approve the revised plan. If the student proposes a non-standard course substitution, then approval by the specialization advisor may be required. Specialization advisors and Student Affairs staff will review revised plans on a quarterly basis.

13. Participate in check-in meeting with primary specialization advisor

The Student Affairs team will organize check-in meetings for 2nd-year MESM students in each specialization with their primary specialization advisor in Fall Quarter. The advisor and staff will present the annual curriculum plan and highlight courses required or recommended for the specialization. This is also a chance for students to share their internship experiences. Participation in the Fall Quarter check-in meeting is required; if a student is not able to attend due to a conflict with a course or job, then the student must contact the Student Affairs staff (academics@bren.ucsb.edu) to schedule another time to receive the information.

Graduate (Grad) Check Form

The Grad Check Form is required by the Graduate Division in order to confirm that students have successfully completed their approved academic program and are eligible for a MESM degree. The Student Affairs staff will generate a Grad Check Form for every graduating student after Spring Quarter grades are submitted in their final year. It is the students' responsibility to make sure they do not have any outstanding grades and notify the Student Affairs staff of any issues. Student Affairs staff will review the Grad Check Forms and the Graduate Advisor (Assistant Dean) will approve and sign the forms. The Graduate Division will review and confirm that students have met the MESM degree requirements and will issue the MESM degree (typically 3-6 months after the end of the academic year).

MESM Time to Degree

MESM students typically graduate after two years of study. The Bren School administration can accommodate students who have personal, family, or work situations that would make it

difficult to complete their MESM degree in two years. Students who would like to pursue this three-year option must meet with the Student Affairs staff (academics@bren.ucsb.edu) to work out a plan of study that will fulfill the requirements of the program. Students may take as few as 8 units per quarter to maintain full-time status at the University. Students still must pay full tuition/fees and UC SHIP each quarter, if enrolled in 7 units or more.

Transfer/Continuation to the PhD Program

Occasionally, MESM students decide to pursue a PhD degree at Bren upon completion of the MESM degree. The PhD program is not an extension of the MESM program. The MESM program provides a professionally-focused set of coursework coupled with educational experience. The PhD is a research degree and requires a strong interest in and the ability to conduct independent research and provide an original contribution of knowledge. The PhD program at the Bren School is a mentoring program; each PhD student works closely with at least two or three Bren professors with expertise in their area of interest.

If a MESM student is interested in the Bren School's PhD program, they must submit a <u>UCSB Graduate Division application</u> to be considered for the PhD program, and a Bren faculty sponsor must support the student's application to the PhD program and agree to be the student's faculty advisor if the student is admitted. The first step in considering the PhD program is for the student to reach out to prospective faculty advisors to discuss shared research interests and possibilities.

If a MESM student is interested in attending a PhD program in another UCSB department, they must contact that department's Graduate Program Assistant for the guidelines on their admissions/transfer process.

SECTION IV: PhD Program Guidelines

The Bren School offers a research-oriented PhD degree, the cornerstone of which is an original work of research, presented as a dissertation, in an important area of environmental science and management. Bren PhD students develop the broad knowledge, analytical abilities, technical skills, and creative thinking required for leadership in environmental science and management.

PHD TIMELINE CHECKLIST*

Year 1

- Submit a bio for PhD student webpage.
- Complete ESM 512 [2 units].
- Establish a Grade Point Average (GPA) in the first quarter of study by taking at least one class for a grade.
- Prepare PhD Annual Review Form (pre-candidacy) by the end of Fall Quarter.

Year 2

- Complete ESM 513 [4 units] and ESM 514 [4 units].
- Complete PhD Annual Review Form (under Academic Forms and Petitions) by the end of Fall Quarter.
- Submit <u>Graduate Division PhD Form I, Conflict of Interest Form and Bren Justification of PhD Committee Form</u> no later than the end of Fall Quarter.
- Schedule and complete written examination by the end of Spring Quarter. Faculty advisor submits results of completed written examination to Student Affairs staff.

Year 3

- Complete PhD Annual Review Form by the end of Fall Quarter.
- Work with PhD Committee to prepare dissertation proposal within 6 months of successful completion of written exam.
- Submit the final dissertation proposal to PhD Committee at least two weeks prior to the oral examination.
- Complete oral exam (recommended for Fall Quarter, required by Spring Quarter of the third year).
- File Graduate Division PhD Form II upon successful completion of the oral examination.
- Update PhD student webpage to include dissertation title and abstract.

Years 4 and 5

- Complete PhD Annual Review Form by the end of Fall Quarter.
- Complete research and dissertation.

- Schedule dissertation defense. At least two weeks prior to the defense, submit the
 dissertation title, abstract, short biography, committee member names, and photo to the
 Senior Events Manager for the announcement of public defense.
- Defend dissertation at the Bren School during regular business hours.
- Submit_<u>Graduate Division PhD Form III</u> to Student Affairs staff upon successful completion of defense.
- eFile dissertation and submit signature page (with wet signatures) to the Graduate Division.

*Please note that the PhD Timeline Checklist is a general guideline. Each PhD student follows a unique timeline with guidance from their advisor based on their priorities and circumstances. For example, students in the Economics and Environmental Science (EES) emphasis must complete an intensive course series in the first year so they typically need more time to complete PhD core courses in the Bren School. Please contact your advisor or the Student Affairs office if you have questions or concerns about your academic timeline.

REQUIRED UNITS

The PhD degree is a research degree awarded upon demonstration of academic excellence and performance of original research. To this end, PhD students must pass doctoral qualifying examinations to demonstrate mastery of their chosen field and produce a dissertation acceptable to their PhD Committee.

PhD students typically must be enrolled in a minimum of 12 units per quarter to maintain full-time status and opportunities for financial support. PhD students must take 2 units of required core courses (see below) in their first year and 8 units of required core courses in their second year. Students who pursue an emphasis, such as Economics and Environmental Science (EES), also take a series of required courses and associated exams. PhD students usually enroll in 12 units of ESM 596 Directed Reading and Research when they are not enrolled in any other courses. Students in their final quarter of dissertation preparation should enroll in ESM 599 Doctoral Dissertation Preparation.

ESM 596 Directed Readings and Research [1 - 12 units]

Bren PhD students frequently register for independent study under the supervision of their faculty advisor. To enroll in ESM 596, PhD students should e-mail academics@bren.ucsb.edu for an approval code and indicate the quarter in which they would like to enroll. Academic Programs staff will provide the approval code for the PhD student to register on GOLD, indicating their advisor from a drop-down menu, for the appropriate number of units. If a PhD student is not taking any other courses, they should enroll in 12 units of ESM 596. If the PhD student is taking other courses, then they may subtract the unit count of the other courses from 12 and register for the appropriate number of units of ESM 596.

GRADE POINT AVERAGE

All PhD students must establish a Grade Point Average (GPA) in the first quarter of study. This means that a PhD student must take at least one course for a letter grade. Other courses may be taken as satisfactory/unsatisfactory (S/U).

CORE COURSES

Bren PhD students are required to enroll in at least 10 units of three core interdisciplinary seminar courses. Incoming PhD students are expected to take the following required courses, in addition to other courses their advisor recommends:

- Year 1
 - o Fall: ESM 512 Research Ethics and Conduct [2 units]
- Year 2
 - o Fall: ESM 513 Environmental Research Design [4 units]
 - o Winter: ESM 514 Collaborative Interdisciplinary Research [4 units]

If a PhD student would like to defer a core course due to other required coursework, they should contact Student Affairs staff (academics@bren.ucsb.edu) as soon as possible to develop a plan to meet program requirements. Students must complete all PhD core courses prior to scheduling their oral exams.

1. ESM 512 Research Ethics and Conduct [2 units in Fall Year 1]

This course introduces the ethical principles that apply to scientists to incoming PhD students of the Bren School. We will discuss key concepts and cases in research conduct and research ethics including: (1) Brief history and basic terms and concepts in ethics; (2) Plagiarism and authorship; (3) Falsification and fabrication; (4) Peer-review process; (5) Human subjects & bioethics; (6) Conflict of interest; (7) Policies and protocols to prevent research misconduct; and (8) Miscellaneous ethical issues in the academic environment. We will also review historical cases of research misconduct, and we will analyze recent retraction cases.

2. ESM 513 Environmental Research Design [4 units in Fall Year 2]

Introduces disciplinary traditions of knowledge creation and explores how these traditions motivate different approaches to research design. Students develop a series of short research proposals on environmental topics to hone their ability to frame effective

research questions, and match those questions to appropriate research designs. By giving interdisciplinary environmental scholars a deeper understanding of other disciplines, the class empowers students to engage in more effective interdisciplinary collaboration and communication.

3. ESM 514 Collaborative Interdisciplinary Research [4 units in Winter Year 2]

This course provides the opportunity to engage with the potential and challenges of cross- and multi-disciplinary research collaboration. Students will engage with practical and strategic elements of conducting research. The class is organized around eight issues and challenges that arise during the research process, from formulating a research question to forming a team of collaborators and fundraising to navigating the peer review process. Through activities, discussions and examples, the class will help demystify different stages of the research process and provide practical tools to help students succeed in the transition from consumers to producers of knowledge.

PHD TIME TO DEGREE

The time-to-degree standards for the Bren School from the time a PhD student commences the doctoral program are:

Advancement to candidacy: 3 years

Degree completion: 5 years

If Bren master's graduates are admitted to the PhD program, their academic clock will be reset to zero. Some PhD students may require additional time to advance to candidacy or complete their degree due to variation in the norms of different disciplines. This is a unique challenge in an interdisciplinary department. Time-to-degree for students in the Social Science Group and/or Economics and Environmental Science (EES) emphasis may be more similar to PhD students in Economics or Political Science departments.

PhD students who exceed their time-to-degree milestones for either advancement to candidacy or degree completion will be placed on academic monitoring to ensure timely completion of the degree. Academic Monitoring provides increased interaction with Student Affairs staff to support the student with helpful guidance for how to complete academic milestones. Academic monitoring does not appear on the student's transcript and does not affect the student's ability to receive Academic Student Employment. Students who exceed time-to-degree requirements are not eligible for Central Fellowships.

A Leave of Absence may be granted for a medical, family emergency, or pregnancy/parenting reasons. Three quarters of Leave of Absence are permitted during which no time shall accrue toward the Graduate Division time-to-degree requirements.

DOCTORAL LEVELS

- P1 status: Academic or professional doctorate degree objective, but not advanced to candidacy
- P2 status: Academic or professional doctorate degree objective, has advanced to candidacy (P2 status lasts for 9 registered quarters)
- P3 status: After 9 registered quarters as P2 status, the student goes into P3 status. Students in P3 status are no longer eligible for central campus funding (such as Central Fellowships and Block Grant), although P3 students can still be employed as long as they meet all other employment eligibility criteria.

| Timeline* Fall Quarter, 1 st year | Milestone Complete ESM 512 (2 units) | Required Form N/A |
|--|--------------------------------------|---|
| Fall Quarter, 2 nd year | Declare PhD Committee | Graduate Division Form I |
| | Complete ESM 513 (4 units) | N/A |
| Winter Quarter, 2 nd year | Complete ESM 514 (4 units) | N/A |
| Spring Quarter, 2 nd year (recommended) | Complete written examination | Submit written examination report to academics@bren |
| Fall Quarter, 3 rd year (recommended) Spring Quarter, 3 rd year (required) | Complete oral examination | Graduate Division Form II |
| Spring Quarter, 5 th year | Complete dissertation and defense | Graduate Division Form III |

^{*}All PhD students are required to complete an annual review by the end of Fall Quarter. Annual review forms are available on the <u>Academic Resources page</u> under Forms and Petitions.

PHD ADVISOR

All PhD students must select a PhD Advisor. Typically, the faculty member who agreed to be the student's sponsor when they applied for the program will be the PhD Advisor unless the student switches advisors (with the new advisor's approval) after commencing the program. Students admitted under the Social Science Group (SSG) may not have a specific advisor until they declare their committee in their second year. Those students may wish to seek guidance from multiple members of the SSG.

Students also may seek guidance from the faculty chair of the PhD Program Committee, who is available to help students identify potential advisors and resolve concerns or conflicts with assigned or acting PhD Advisors.

The PhD Advisor is the chair of the student's PhD Committee and an advocate for the student. The Advisor is responsible for mentoring the PhD student and assisting the student in identifying opportunities to secure financial support. The Advisor helps the student define a research topic, develop a study plan, and select PhD Committee members. The PhD student should meet with their advisor on a regular basis. It is critical for students to choose a PhD Advisor with whom they can effectively communicate. The student is expected to be proactive in creating and maintaining open lines of communication with their PhD Advisor.

PHD COMMITTEE

A student's PhD Committee must be composed of at least three members of the <u>University of California (UC) Academic Senate</u>, including two Bren School faculty members (including the PhD Advisor) and a third UC faculty member. At least one of the two Bren faculty members must have an appointment greater than 0% time. The committee may include more than three members, including members outside the UC Academic Senate who are knowledgeable in the student's subject matter, as long as they have a PhD degree or equivalent. The PhD Advisor is the committee chair. The PhD Committee should assist the student in preparing for written and oral exams and producing an excellent doctoral thesis. At a minimum, the student should meet with the entire PhD Committee at least once per year. More detailed information is available on the <u>Graduate Division Committees website</u>.

ANNUAL REVIEW

Every Winter Quarter, the PhD student's progress and performance will be reviewed by the faculty chair of the PhD Program Committee. For the review, the student must prepare a progress report using the PhD Annual Review Form and submit it to the Student Affairs staff (academics@bren.ucsb.edu).

Before submitting the Annual Review, the student must convene a meeting of the PhD Committee and present a progress report. All committee members must review, approve, and sign the Annual Review. Concurrent telephone or Zoom participation in a committee meeting is acceptable; however, the Annual Review still must be signed by the committee member who participated remotely. Electronic signatures are acceptable. PhD students in their first year who do not have formal committees and students who have not yet advanced to candidacy should complete the PhD Annual Review Form for pre-candidates.

The faculty chair of the PhD Program Committee, Student Affairs staff, and Assistant Dean read the annual reviews and confirm that PhD students are making satisfactory progress. At a Bren

School faculty meeting, the committee chair presents any cases of PhD students who have not made satisfactory progress. The faculty discuss the cases and make recommendations for each student who has not made satisfactory progress.

STAGE 1: FORMING THE PHD COMMITTEE

By the Fall Quarter of the second year of study, students must formally confirm their PhD Advisor(s) and select members of their PhD Committee. To nominate a committee, students must complete 1) Graduate Division's PhD Form I: Committee Nomination for Master's Thesis or Doctoral Degree 2) Graduate Student Conflict of Interest (COI) form and 3) Bren School Form 1: Justification of Proposed PhD Committee . On the PhD Form I, students list the faculty members who have agreed to be on the committee and those faculty members must sign the form. Students should not list any committee members that have not explicitly confirmed their participation. All three required forms are available through a DocuSign PowerForm on the Bren Academic Resources page: Justification of PhD Committee Members.

The completed forms should be submitted through DocuSign, which is routed to Student Affairs staff who will facilitate a review and approval by the Bren faculty at a faculty meeting. Students should inform their PhD Advisors when they have submitted the forms. The PhD Advisor will present the proposed PhD Committee membership to the Bren School faculty for discussion and approval. If the Bren faculty approves the proposed committee (by a 2/3 vote), the Student Affairs staff will submit the signed Form I and Conflict of Interest Form to the Graduate Division. If the proposed committee is not approved, the Student Affairs staff will inform the student and provide relevant information to guide the revision and resubmission of the form.

Under no circumstances may a PhD student take written or oral exams without a PhD Committee approved by the Bren School faculty and Graduate Division Dean.

Conflict of Interest Policy

When a PhD Committee is proposed, the student must complete the Graduate Student Conflict of Interest (COI) form as part of the Graduate Division's PhD Form I: Committee Nomination for Master's Thesis or Doctoral Degree. If a conflict of interest arises independent of the nomination of the committee, the student must submit the stand-alone <u>Graduate Student Conflict of Interest Form</u> to the Graduate Division. The COI stand-alone form should be used if the student is employed by a private entity in which their PhD Advisor is a party to the agreement or arrangement and has a financial interest.

Changes in PhD Committee

If a student needs to make changes to their approved PhD Committee, the student must complete Graduate Division's Form IA: Changes to Master's Thesis or Doctoral Committee and Bren Form 1A: Justification of Proposed Changes to Committee Members. Both required forms are available through a DocuSign PowerForm on the Bren Academic Resources page: PhD Form

<u>1A: Justification of Proposed Changes to PhD Committee</u>. Signatures are required for faculty who will be added to a committee but no signature is needed for a faculty member who will be removed from a committee. Once the form is submitted through DocuSign it is routed to the Student Affairs staff, who will facilitate review by the Bren faculty at a faculty meeting. The student should inform their PhD Advisor that they have submitted the forms. If the proposed changes are approved (by a 2/3 vote), the Student Affairs staff will submit Form 1A to the Graduate Division for approval. The proposed changes to a PhD Committee are not valid unless approved by the Bren faculty and Graduate Division Dean.

<u>Substantive Changes in Dissertation Research</u>

Substantive changes in dissertation research are defined as major changes in the student's course of study and research (e.g., change of PhD Advisor, new dissertation topic, etc.). The PhD Committee will determine if a proposed change is minor or major. If the PhD Committee approves a major change in a student's dissertation research, then the Bren faculty will review the proposed change and may approve the proposed change by a 2/3 vote.

If the student already has passed the written and/or oral exams, then they may be required to retake one or both.

STAGE 2: WRITTEN EXAMINATION

It is the student's responsibility to ensure that they are in compliance with the Bren School's timeline for PhD examinations and to work closely with their PhD Committee to maintain an adequate schedule. The student is responsible for initiating the scheduling of examinations as appropriate.

Registration Requirements

PhD students must be registered during the quarters when they take their written and oral qualifying exams. Registration as a graduate student in the Spring Quarter maintains graduate student status until the beginning of the next Fall Quarter. If a student is registered in Spring Quarter, then they may take examinations or file a dissertation during the summer without additional fees.

The Written Examination

Typically, each Bren PhD student must successfully complete a written examination by the middle of the third year. The written examination is prepared by the student's PhD Committee and is intended to demonstrate the student's expertise in the chosen field of study. Please note that students pursuing the Economics Economics and Environmental Science (EES) Emphasis are required to take the Economics Department's written examinations. A Bren PhD student may request approval from their PhD committee to use this exam as their preliminary written exam at the Bren School, provided the committee approves. If the committee does not approve, the Bren student must pass an independent written exam in the Bren School.

The student should organize and schedule a meeting with the PhD Committee to prepare well in advance of the examination. The PhD committee will recommend a reading list as a guide for study and describe the nature of the exam. Members of the PhD Committee prepare questions for the written examination and the committee chair (PhD Advisor) coordinates the questions. Typically, students may work for several days on the written examination but the duration and format of the written examination varies. Within a month of the student's completion of the exam, the PhD committee prepares a written evaluation of the examination and the student receives one of the following possible grades:

- 1. Pass
- 2. Conditional Pass: The student must repeat a portion of the written exam or in some way satisfy deficiencies. The deficiencies must be corrected within six months of notification. If for some reason, the deficiency cannot be corrected within six months (i.e., a class is necessary but only offered once a year), then the student may have up to 12 months to rectify the conditional pass.
- 3. Fail: The student does not pass but is allowed to retake the written exam within six months of notification. If the student fails the written exam, they likely will be placed on academic monitoring or probation with the condition that the written exam must be taken again and passed. If the student fails a second time (or fails the written exam after a conditional pass), the Bren School typically will recommend to the Graduate Division that the student be moved to academic probation and dismissed from the Bren PhD program.

PhD students must submit the Bren Report on <u>PhD Written Exam Form</u> using a DocuSign PowerForm and the written examination questions (not answers) to the Student Affairs staff within 30 days of the examination.

STAGE 3: DISSERTATION PROPOSAL AND ORAL EXAMINATION

To advance to candidacy, all Bren PhD students must develop and defend a comprehensive dissertation proposal and take an oral qualifying examination by the Spring Quarter of the third year of study. The Graduate Division requires at least three consecutive quarters of residence prior to taking the oral qualifying examinations.

The dissertation proposal is developed in close consultation with the PhD Committee. The student typically drafts a proposal of initial ideas and then works with the PhD Advisor to improve the proposal. Interaction with members of the committee and other experts is encouraged during the preparation of the proposal.

The final draft of the dissertation proposal should be approximately 15 pages and must be submitted to the committee at least two weeks prior to the oral examination date. The

committee may request a change in the page or time limits (for example, if an outside member needs more time).

The written dissertation proposal must:

- Describe the background and significance of the proposed dissertation research
- Present the theoretical and conceptual framework of the study, including how it builds on prior research on the topic, and
- Explain the methodological approach including selection of research sites and subjects/participants, description of data sources, or explanation of how the data will be collected and analyzed.

The dissertation proposal also should include a timeline and identify financial or other types of support essential for the proposed research. If relevant, the proposal must address the use of any human subjects in the research.

Oral Examination

All Bren PhD students must take their oral examination in person at the Bren School. All members of the PhD Committee must participate in the oral examination. The objective of the oral examination is to ensure that the student possesses the full knowledge and competence required to carry out the proposed dissertation research. The committee determines the best way to evaluate the student's knowledge. The oral examination typically consists of a brief presentation by the student to describe their proposed research followed by an extended critical analysis of the proposal by the student and committee members. Immediately after the oral examination, the committee votes to either:

- 1. advance the student to PhD candidacy,
- 2. allow the student to take a second oral examination within six months and recommend the student for academic monitoring, or
- 3. fail the student and recommend academic probation.

If a second oral exam is recommended, the committee will vote for advancement, monitoring or probation following the second oral exam.

Advancement to PhD candidacy

Advancement to candidacy requires successful completion of the oral examination and submission of the Graduate Division's PhD Form II: Report on Doctoral Degree Qualifying Examinations, which must be signed by all members of the PhD Committee. Students are encouraged to submit their Form II to their committee members using DocuSign and then route it to the Student Affairs staff (academics@bren.ucsb.edu) for the signature of the Graduate Advisor (Assistant Dean) prior to submission to the Graduate Division. The student will then pay the advancement-to-candidacy fee via their BARC account.

Students have until the last business day before the next quarter officially begins (as indicated in the University's quarterly Schedule of Classes) to submit the Form II, which officially advances the student to PhD candidacy at the start of the next quarter.

If a student has any Incompletes or No Grades on their record, they are ineligible to advance to candidacy until these have been resolved. There are no exceptions to this Graduate Division policy.

Advancement Privileges

Once advanced, the quarterly non-resident supplemental tuition (NRST) is waived for nine quarters (normally three years) for doctoral students. Waiver eligibility begins with the first academic quarter following advancement to candidacy. Eligibility is not extended during unregistered quarters, although approved Leaves of Absence will not count towards the nine-quarter limit. International students have their NRST waived for three calendar years; however, there is no extension for lapsed or leave quarters.

Upon advancement, the Graduate Division notifies the UCSB Library that the student is eligible for doctoral candidate borrowing privileges as long as the student is in "registered" status or on approved Leave of Absence. To learn more about these extended privileges, visit the UCSBLibrary website.

STAGE 4: THE DISSERTATION & DEFENSE

To receive a PhD degree, a student must perform original research that demonstrates their ability to contribute significant, independent, and original scholarship. The PhD Committee quides the student in this work and judges the merit of the completed research.

The dissertation must be presented in a form acceptable to the UCSB Library and meet the filing requirements of the Graduate Division. For details, see the Graduate Division's Filing Tutorial. While it is not mandatory to give a bound copy of the dissertation to the PhD Advisor and PhD Committee members, it is customary. To obtain a bound copy or copies of the dissertation, students should follow instructions on the Library's <u>Dissertation Binding website</u>.

PhD students are expected to work with their PhD Advisor and PhD Committee to prepare the dissertation. Typically, this iterative process occurs for several months prior to submission of the dissertation. When the student has completed the written dissertation to the satisfaction of the advisor and committee, the student must give a public defense of the dissertation in person at the Bren School during business hours. Students must allow at least two weeks between the time the final draft of the dissertation is submitted to the PhD Committee and the public defense. Some committees may require more time.

The dissertation defense is intended to:

Describe the significance of the dissertation research;

- Explain the methodological approach, including research sites, data collection techniques or sources, and analyses;
- Present key findings and conclusions from the research; and
- Describe the student's contribution to the field, and potential applications or innovations based on the research findings and explore potential future directions for the research.

When the PhD Committee has indicated that the student is ready to defend the dissertation, then the student should select a date and time when all of the PhD Committee members can participate in the defense. At least two weeks prior to that date, the student must contact scheduling@bren.ucsb.edu to request a room for the defense. Please provide staff with the date and time of the public defense plus the time anticipated for the closed session following the defense. Two weeks prior to the defense, students also must send the following information to the Senior Events Manager for the announcement of the public defense: dissertation title, student's name as it should be printed, advisor and committee members' names, abstract of dissertation, a short student bio, and a photo of the student (640x640px).

After the public defense, the PhD Committee meets with the student in a closed-door session to evaluate the dissertation. Following this, the committee typically meets without the student to determine the outcome of the defense. The committee assigns one of three outcomes and notifies the student immediately of the result:

- 1. Pass
- 2. Conditional Pass: The student must modify the written dissertation as recommended by the committee.
- 3. Fail: The student must re-defend their dissertation after a delay of at least two but no more than six months. A student who fails the dissertation defense likely will be recommended for academic probation by the PhD Committee. A second failure of the defense will result in a recommendation of the student's dismissal to the Graduate Division.

If the student passes the dissertation defense, then they must complete Graduate Division's PhD Form III, which must be signed by all members of the PhD Committee. Students are encouraged to submit their Form III to their committee members using DocuSign and then route it to the Student Affairs staff (academics@bren.ucsb.edu), who will file the form directly with the Graduate Division. The student's dissertation must be eFiled and a copy of the dissertation signature page must be filed with the Graduate Division. Additional resources for the dissertation can be found on the Graduate Division's "Filing Your Thesis" website.

Filing Fee Quarter Leave of Absence

The Filing Fee Quarter Leave of Absence status may be used in lieu of registration to maintain the relationship between the student and the University for the express purpose of completing one final requirement (e.g., filing the dissertation and/or defense). The Filing Fee Quarter Leave of Absence is to be used only if the student has completed all other requirements for the degree, and will not be holding a student appointment title or award, or extensively using University resources or faculty time. If for any reason, the student does not complete the degree requirements during the approved filing fee quarter, they will have to register and pay full fees for the subsequent quarter(s) in which the final degree requirements are met.

Filing Fee Quarter Leave of Absence requires that the student be enrolled in full-time status and pay all required fees for the quarter prior to the filing-fee quarter. The filing fee is half the amount of the registration fee (approximately \$200). Students pay the filing fee on the day they actually file for their degree. Students may not carry forward a Filing Fee Quarter Leave of Absence from one quarter to the next.

To pursue a Filing Fee Quarter Leave of Absence, students must file a Filing Leave of Absence Petition. The PhD Advisor and the Bren School's PhD Graduate Advisor (Assistant Dean) must approve the petition, verifying that the student is expected to finish during the proposed quarter. The petition serves as a contract that commits the student to file their dissertation that quarter in return for the privileges a Leave of Absence confers.

PHD COMMUNITY

The Bren School supports multiple activities to promote a spirit of collaboration and interdisciplinary innovation between PhD students and faculty.

Coffee Hour

A weekly coffee hour is convened for PhD students, faculty, and visiting researchers during the academic year. Generally, two first-year PhD students share the responsibility of coordinating coffee hour and students take turns bringing snacks. This is an unstructured time for students to get together and share ideas.

PhD/Faculty Gatherings

Approximately during Fall, Winter, and Spring Quarters, a PhD/faculty gathering is hosted by PhD students at Bren. There is typically a presentation with an academic or professional component e.g., fellowship information, tips and resources for writing, and mixers with PhD students from other departments. Drinks and snacks are reimbursed by the Bren School, although students take the lead on planning programming, purchasing refreshments, and hosting the events.

Annual PhD Student Symposium

In Winter Quarter, the annual PhD Student Symposium is held at Bren to showcase the work of continuing PhD students. Organizing is done by the students with limited assistance from Bren

staff. The symposium comprises both short (3-minute) talks, and long (12-minute) talks, concluding with a poster session and reception.

PhD Annual Retreat

The PhD Annual Retreat is held prior to the start of Fall Quarter. This is typically a trip off-site, usually over a weekend, to strengthen relationships and interpersonal communications within the PhD community. The location changes, but usually it is held at a destination within the UC Natural Reserve System. Daytime activities and meals are coordinated by students. Faculty and staff are encouraged to participate.

BREN SCHOOL COMMENCEMENT

PhD candidates who have completed their dissertations and defenses are encouraged to participate in the Bren School commencement ceremony conducted at the end of Spring Quarter. If a student is interested in participating, they should notify the Student Affairs team (academics@bren.ucsb.edu). The student must provide the following information for the commencement program: student's name as it should be printed and announced during the ceremony, PhD Advisor's name, and dissertation title. PhD students also will need to reserve a cap, gown, and PhD hood to wear during the ceremony. Please refer to the Bren School Commencement webpage for information regarding commencement.